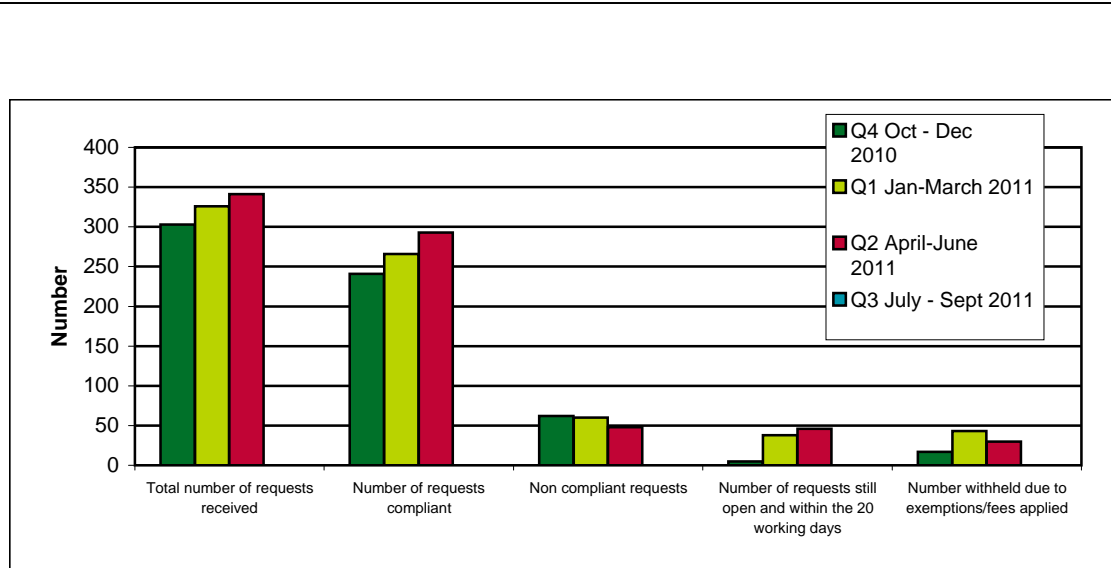


# Appendix A - Standards and Ethics Indicators 2011



341 FOI requests were received between April to June(Quarter 2). Of the 341 requests received in Q2 293 were compliant and 48 non compliant and 30 were withheld due to exemptions fees supplied (6 partial disclosure and 24 fully exempt).

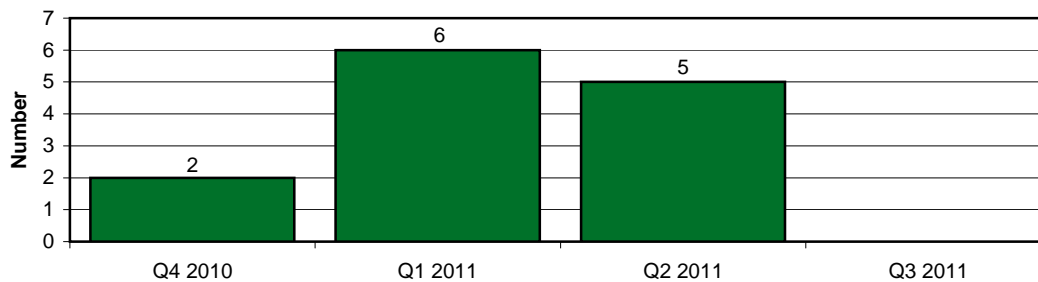
In Q2 there were 46 requests still open and within 20 days;

Appendix B details requests where information was withheld due to exemptions/fees applied and overdue requests that are still open.

Number of Disciplinary action cases relating to:				
	Q4 Oct - Dec 2010	Q1 Jan-March 2011	Q2 April-June 2011	Q3 July - Sept 2011
Breaches of Member Officer Protocol	0	0	0	
Fraud	0	0	0	

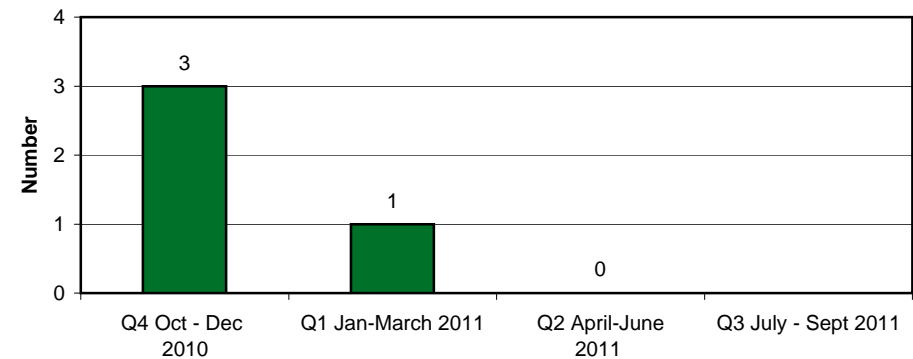
## Employment Tribunals

### New Cases



**Quarter 2** - Number of outstanding cases is 13 (including 5 Hfl) and 2 multiple claims - (Pensions 26 individual pension claims, 20 Equal Pay Homecarers Claimants). Number of disposed of cases is 7 (6 won or withdrawn by claimant, 1 settled). Of the 5 cases brought against the Council this quarter, there were 4 including claims of unfair dismissal, 3 of race discrimination, 1 disability discrimination, 1 for age discrimination.

## Number of Whistleblowing Incidents reported



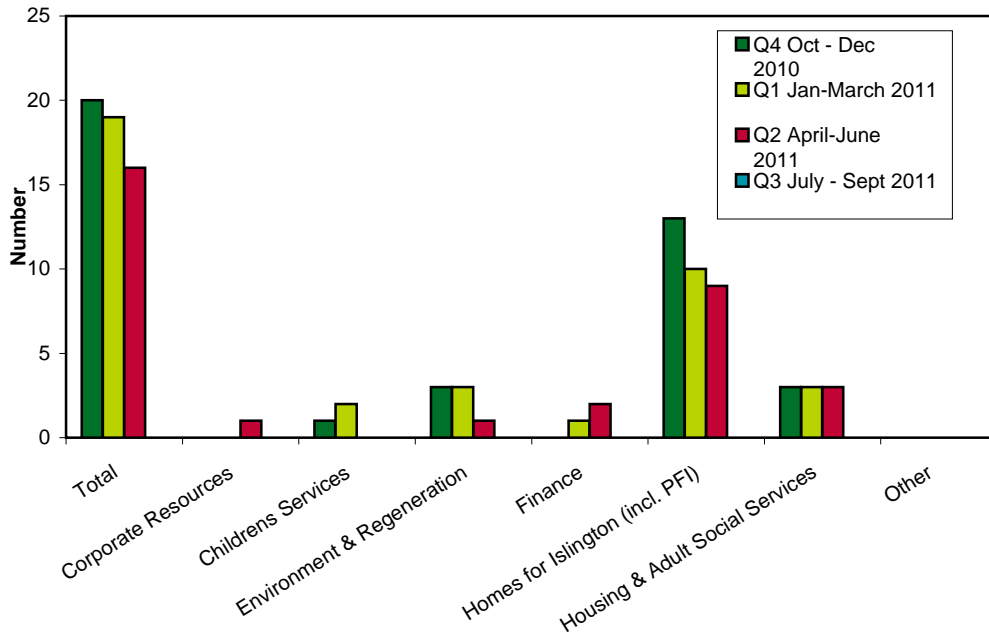
**Quarter 4** - one incident related to alleged failure to following whistleblowing policy - not upheld; one incident related to allegations concerning waste management - not upheld; one incident related to allegation of bullying - being investigated.

**Quarter 1** - one incident relating to the Youth Offending Service

**Quarter 2** - None

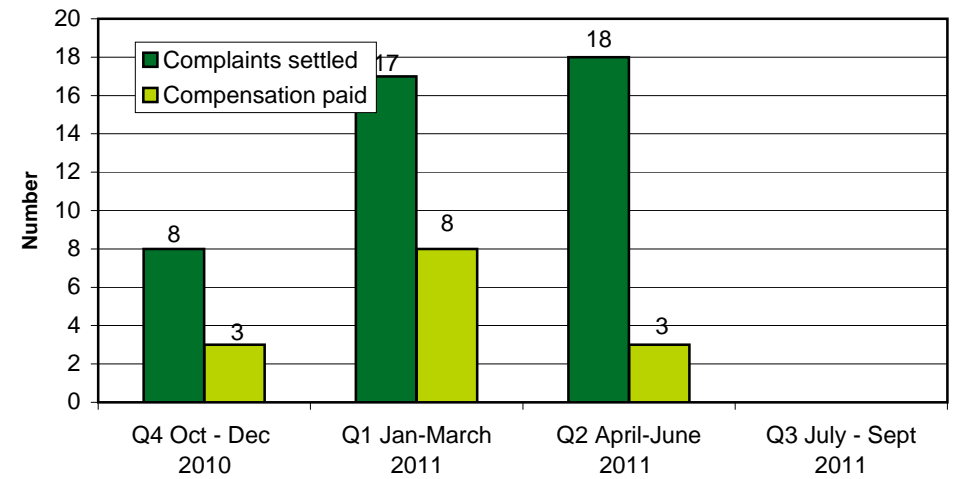
## Ombudsmen Complaints

### New Ombudsmen Complaints Received by Service Area



**Ombudsman maladministration cases** - There were no maladministration reports issued against the Council during Q2

### Number of Complaints Settled and Compensation Paid



### Number of cases where compensation paid by service area

Q4 - 3;  
Q1 - 8;  
Q2 - 3;

### No. Cases Reported

One case notified (CEA) in relation to teachers strike.

### Industrial Action

No Industrial Action was taken or notified during 2010; One case of industrial action was taken or notified during Q2 2011.

### Complaints submitted to the Initial Assessment Sub-Committee

