

Report of: Director of Corporate Resources

Meeting of	Date	Agenda Item	Ward(s)
Standards	30 April 2007	6	

Delete as appropriate	Exempt	Non-exempt
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If exempt under para.10.4, category (1-15) of the Access to Information Rules give reasons.
Because:

Subject: STANDARDS AND ETHICS INDICATORS

1. Synopsis

This report sets out a series of indicators which will help give members an indication of the health of the authority in relation to Standards and Ethics.

2. Recommendation

That the Committee consider the indicators for the first quarter, January to March 2007 and comment as appropriate.

3. Background

This report pulls together existing information which will help give members an indication of the health of the authority in relation to Standards and Ethics.

District Audit Public Interest Reports

2005

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct – December
0	0	0	0

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July - Sept	Oct – December
0	0	0	0

2007

Quarter 1
January – March
0

Objections to the Council's Accounts**2005**

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April-June	July-Sept	Oct – December
0	0	1	0

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July - Sept	Oct – December
0	0	0	0

2007

Quarter 1
January – March
0

Referrals to and Investigations undertaken by the Standards Board for England**2005**

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April-June	July - Sept	Oct – December
3	2	0	3

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July - Sept	Oct – December
1	0	0	1

2007

Quarter 1
January – March
1

1 complaint alleging that a Councillor had refused to take telephone calls in relation to an ongoing complaint. The Standards Board did not investigate the matter further as they noted that members are not under an obligation to respond to every request for assistance they receive. Having taken account of the available information the Board did not believe that a potential breach of the Code was disclosed.

Number of Whistleblowing Incidents reported**2005**

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April-June	July-Sept	Oct - December
0	3	2	0

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct - December
1	3	1	1

2007

Quarter 1
January – March
0

Number of challenges to procurements**2005**

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April-June	July-Sept	Oct - December
0	0	0	0

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July - Sept	Oct - December
0	1	1	0

2007

Quarter 1
January – March
0

Disciplinary action relating to breaches of the Member/Officer Protocol

2005

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April-June	July-Sept	Oct - December
0	0	0	0

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct - December
0	0	0	0

2007

Quarter 1
January – March
0

Disciplinary action relating to fraud

2005

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct - December
0	0	0	0

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct - December
0	0	0	0

2007

Quarter 1
January – March
0

Freedom of Information Act Indicators

2005

Quarter 1		Quarter 2	
January – March		April – June	
Total number of requests received within this quarter	109	Total number of requests received within this quarter	106
No of requests compliant within this quarter	52	No of requests compliant within this quarter	59
Non compliant requests within this quarter	57	Non compliant requests within this quarter	47
No of requests still open and within the 20 working days	0	No of requests still open and within the 20 working days	0

2005

Quarter 3		Quarter 4	
July – September		October - December	
Total number of requests received within this quarter	68	Total number of requests received within this quarter	102
No of requests compliant within this quarter	45	No of requests compliant within this quarter	85
Non compliant requests within this quarter	23	Non compliant requests within this quarter	17
No of requests still open and within the 20 working days	0	No of requests still open and within the 20 working days	0

2006

Quarter 1		Quarter 2	
January – March		April - June	
Total number of requests received within this quarter	118	Total number of requests received within this quarter	93
No of requests compliant within this quarter	103	No of requests compliant within this quarter	81
Non compliant requests within this quarter	15	Non compliant requests within this quarter	12
No of requests still open and within the 20 working days	0	No of requests still open and within the 20 working days	0

Quarter 3		Quarter 4	
July – September		Oct - December	
Total number of requests received within this quarter	122	Total number of requests received within this quarter	146
No of requests compliant within this quarter	77	No of requests compliant within this quarter	94
Non compliant requests within this quarter	15	Non compliant requests within this quarter	20
No of requests still open and within the 20 working days	28	No of requests still open and within the 20 working days	32

2007

Quarter 1	
January – March	
Total number of requests received within this quarter	141
No of requests compliant within this quarter	125
Non compliant requests within this quarter	28
No of requests still open and within the 20 working days	20

No. where information was withheld due to exemptions/fees applied

Quarter 1: January – March

2006

Request number:	Surname of requestor	Exemptions applied	Original request
33142	Whelan	s22 Information intended for future publication.	A copy of the Information Governance Strategy (incorporating Records Management)
35381	Lewis	s44 Prohibited by another Act 7, 8 and 10 of Schedule 12A of the LGA 1972	Copies of reports on behalf, or for the Council in advance of it entering into the contract.

37775	Lewars	s43 Commercial Interests	List of empty residential properties (partial i.e. addresses were withheld – figures were released)
38435	Chapman	s43 Commercial Interests	The Finsbury Town Hall contract (partially exempt)

Quarter 2: April - June

2006

Request number:	Surname of requestor	Exemptions applied	Original request
45061	Blunden	s 43 Commercial Interest	Please tell me how much the Islington Gazette newspaper tendered for the most recent Islington Council legal notices advertising contract.
46896	Beale	Fees notice	Copy of the PFI 1 Contract
50467	Lee	Fees Notice	Figure of how many permission to park applications relating to Zone A.
52089	Lewis	s43 Commercial Interest	A list of empty /abandoned properties within the borough S43 commercial interests
52709	Protopapa	s40 Personal data	Names or numbers of 2 female Parking Attendants on duty at the time & in the location - s40 personal data
52833	Edwards	s43 Commercial Interest	How many of the Employment Tribunals resulted in a financial settlement and how much were the settlements for?
53805	Wilkins	s40 Personal data	Copies of the CCTV record books for the last 3 months Copy of the systems registration application

Quarter 3: July – September

2006

Request number:	Surname of requestor	Exemptions applied	Original request
55336	Pisanelli	s40 Personal data	Name of Parking Attendants
56280	Jackson	s40 Personal data	Information withheld related to other staff's sickness, redundancy and disciplinaries
61305	Swallow	Section 41 to info provided in confidence	We'd like to know if there have been any noise issues with our immediate neighbours.
62020	Stannard	s40 Personal data	Appeal now.com request numbered 1-23
62213	Chapman	s42 legal professional privilege	The written opinion(s) and/or record(s) on paper or by email between Nigel Giffin QC and solicitors at Islington Council
62896	D'Silva	s40 Personal data	Appealnow.com enquiry numbered 1 -23
63329	Gad el Rab	Part exempt s40 Personal data	s40 redacted the owners address and telephone details as it was personal and not company Personal Data
63616	Powner	s43 Commercial Interest	Please can you confirm the amount of mobile phones under contract by the council and the date that these will be out of contract

Quarter 4: October – December

2006

Request number:	Surname of requestor	Exemptions applied	Original request
69952	Moriarty	Copies of noise complaints made in Tibberton Square N1	s41 Information provided in confidence and s40 Personal Data
70072	Widdup	Information regarding misuse of internet	Section 30(1)(a)(i) and Section 30(2)(a)(i)
70079	Bell	ICT contracts the Council currently hold, and also any future contracts you have planned.	Refusal notice sent out
71052	Thornberry	Information on 3 bedroom properties or more sold during disposals	Info not held
72938	Muston	Information regarding an ongoing investigation	s30 Investigations
73707	January	Info relating to a Parking Attendant	s40 Personal Data
73978	McKinley	Info relating to a Parking Attendant	s40 Personal Data
76498	Lynch	Information regarding staff names	s40 Personal Data

Quarter 1: January - March

2007

Request number:	Surname of requestor	Disclosure Type	Exemptions applied	Original request
82419	Taylor	Partial disclosure	s40 Personal Data	For each year 2005-06 and 2004-05, and for each individual included in questions 1, 2 and 3 above, the total Cash Equivalent Transfer Value (CETV) of his/her pension accrued by year end.

Request number:	Surname of requestor	Disclosure Type	Exemptions applied	Original request
83242	Kingsley	Partial disclosure	s31 Law Enforcement	Elements of the Safety Certificate and supporting documentation which relates to plans, stewarding and policing, location of equipment and inspection of site
83888	Hayes	Fully exempt	s36 Prejudice to the effective conduct of public affairs	'Please give me the names of the schools and alternative education provisions that are causing the LA concern (which means that in the LA's judgement, unless remedial action is taken, the school might get an adverse judgement from Ofsted if it was inspected at the current time
94308	Knock	Partial disclosure	s43 Commercial Interest and s40 Personal Data	Provide a list of the repossessed properties in your borough.
84334	Hockenjos	Partial disclosure	s40 Personal Data	Management agreement between the London Borough of Islington and Homes for Islington.
85318	Fletcher	Fully exempt	s40 Personal Data	Please tell me the names of the people who live in Caister House, Roman Way, Islington, London N7 8XL
85726	Uddin	Fully exempt	s30 Investigations	I wanted to find out the outcome the interview you had concerning the above tenant on 23/11/06 at 10.30. Also what the Tenant said and any evidence that you may have regarding possible subletting anything confirming this that the tenant was renting the property while living some where else? As I have made a Court Application against the tenant due to rent arrears and would appreciate your help in this matter
86996	Claughton	Partial disclosure	s38 Health and Safety	The Council has it's own Kennel but the location is not disclosed to the public, for the protection of the animals in our care and the safety of the officers working there

Request number:	Surname of requestor	Disclosure Type	Exemptions applied	Original request
87502	Bennett	Partial disclosure	s40 Personal Data	Personal Information which was included within the responses to the Archway Development Consultation has been blanked out
87640	Majid	Fully exempt	s40 Personal Data	The Council does not consider it appropriate to provide a list with the names of Parking Attendant.
89017	Davies	Partial disclosure	s41 Information provided in confidence	The price per share that the transaction was carried out at
89824	O'Keefe	Partial disclosure	s43 Commercial Interest	List of empty properties
90349	Cheung	Partial disclosure	s43 Commercial Interest	List of empty properties
91347	Pearson	Partial disclosure	s40 Personal Data	Parking Attendants details
96644	McLarty	Partial disclosure	s40 Personal Data	Parking Attendants names and address

Industrial Action taken or notified

2005

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct – December
0	0	0	0

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct – December
1	0	0	0

2007

Quarter 1
January – March
0

Number of Employment Tribunals received

2005

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct – December
1 new case 7 for same period last year	3 new cases 11 for the same period last year	3 new cases 11 for the same period last year.	1 new case 5 for the same period last year.

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct – December
5 new cases 1 for same period last year	7 new cases 3 for same period last year	9 new cases 3 for the same period last year	4 new cases 1 for the same period last year

2007

Quarter 1
January – March
8 new cases 5 for same period last year

Outstanding Cases

Number of outstanding cases is 27 and 2 multiple claims - (Pensions 58 individual claims and Equal Pay Homecarers 48 claimants).

15 outstanding cases and 2 multiple claims for same period last year.

Disposed of Cases

Number of cases disposed is 10.

8 cases were disposed of for the same period last year.

Number of New Ombudsman complaints received

2005

	Quarter 1	Quarter 2	Quarter 3	Quarter 4
	January – March	April – June	July-Sept	October – December
	14	12	16	27
- Benefit	1	3	3	2
- Other housing	10	6	11	15
- Environment & Conservation	3	2	1	5
- Finance	-	1	-	-
- Social Services	-	-	1	-
- Education/CEA	-	-	-	1
- Council Tax	-	-	-	4

2006

	Quarter 1	Quarter 2	Quarter 3	Quarter 4
	January – March	April – June	July-Sept	October – December
	14	20	28	22
- Housing Performance and Property	11	9	8	6
- Homes for Islington		7	11	13
- Corporate Resources		2	1	-
- Social Services (Adult)	1			-
- Environment & Regeneration	1	2	4	2
- Customer Focus			3	-
- CEA			1	-
- Finance	1			1

2007

	Quarter 1
	January – March
Total	35
- Adult Services	1
- Childrens services	1
- Environment & Regeneration	2
- Finance	3
- Homes for Islington	12
- Housing Performance & Property	3
- Other	3

Number of Ombudsman complaints settled

2005

	Quarter 1	Quarter 2	Quarter 3	Quarter 4
	January – March	April-June	July-Sept	October – December
Complaints settled	15	15	19	4 **
Total no. where compensation paid:	6	6	14	0
- Benefit	4	3	4	-
- Other Housing	2	3	8	-
- Social Services	-	-	1	-
- Finance	-	-	1	-

2006

	Quarter 1	Quarter 2	Quarter 3	Quarter 4
	January – March	April – June	July - Sept	October – December
Complaints settled	25	16	18	18
Total no. where compensation paid:	9	7	6	7
- Homes for Islington	12	12	6	3
- Environment & Regeneration	6	2	3	3
- Housing Needs & Strategy	1			-
- Finance	1	1		-
- Housing Perf. & Property	3	-	9	1
- Customer Focus	1	-	-	-
- Corporate Resources		1	-	-
- Social Services (C+F)	1	1	-	-

2007

	Quarter 1
	January – March
Complaints settled	14
Total no. where compensation paid:	4
- Childrens Services	1
- Environment & Regeneration	3
- Finance	1
- Homes for Islington	6
- Housing, Perf. & Property	3

Ombudsman maladministration cases

There were no maladministration reports issued against the Council by the Ombudsman during this quarter.

5. Conclusion and Reasons for Recommendation

These indicators are provided at the request of the committee to help give the committee an indication of the health of the authority in relation to Standards and Ethics.

Background papers:

Statistics provided by the relevant departments.

Final Report Clearance

Signed by
Director of Corporate Resources Date

Received by
Head of Democratic Services Date

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