

Report of: Director of Corporate Resources

Meeting of	Date	Agenda Item	Ward(s)
Standards	19 June 2007	8	

Delete as appropriate	Exempt	Non-exempt
------------------------------	--------	------------

If exempt under para.10.4, category (1-15) of the Access to Information Rules give reasons.
Because:

Subject: STANDARDS AND ETHICS INDICATORS

1. Synopsis

This report sets out a series of indicators which will help give members an indication of the health of the authority in relation to Standards and Ethics.

2. Recommendation

That the Committee consider the indicators for the first two months of the second quarter, April to May 2007 and comment as appropriate.

3. Background

This report pulls together existing information which will help give members an indication of the health of the authority in relation to Standards and Ethics.

District Audit Public Interest Reports

2005

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct – December
0	0	0	0

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July - Sept	Oct – December
0	0	0	0

2007

Quarter 1	Quarter 2
January – March	April – May
0	0

Objections to the Council's Accounts**2005**

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April-June	July-Sept	Oct – December
0	0	1	0

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July - Sept	Oct – December
0	0	0	0

2007

Quarter 1	Quarter 2
January – March	April – May
0	0

Referrals to and Investigations undertaken by the Standards Board for England**2005**

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April-June	July - Sept	Oct – December
3	2	0	3

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July - Sept	Oct – December
1	0	0	1

2007

Quarter 1	Quarter 2
January – March	April – May
1	0

Number of Whistleblowing Incidents reported**2005**

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April-June	July-Sept	Oct - December
0	3	2	0

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct - December
1	3	1	1

2007

Quarter 1	Quarter 2
January – March	April – May
0	0

Number of challenges to procurements**2005**

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April-June	July-Sept	Oct - December
0	0	0	0

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July - Sept	Oct - December
0	1	1	0

2007

Quarter 1	Quarter 2
January – March	April – May
0	0

Disciplinary action relating to breaches of the Member/Officer Protocol

2005

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April-June	July-Sept	Oct - December
0	0	0	0

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct - December
0	0	0	0

2007

Quarter 1	Quarter 2
January – March	April – May
0	0

Disciplinary action relating to fraud

2005

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct - December
0	0	0	0

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct - December
0	0	0	0

2007

Quarter 1	Quarter 2
January – March	April – May
0	0

Freedom of Information Act Indicators

2006

Quarter 1		Quarter 2	
January – March		April - June	
Total number of requests received within this quarter	118	Total number of requests received within this quarter	93
No of requests compliant within this quarter	103	No of requests compliant within this quarter	81
Non compliant requests within this quarter	15	Non compliant requests within this quarter	12
No of requests still open and within the 20 working days	0	No of requests still open and within the 20 working days	0

Quarter 3		Quarter 4	
July – September		Oct - December	
Total number of requests received within this quarter	122	Total number of requests received within this quarter	146
No of requests compliant within this quarter	77	No of requests compliant within this quarter	94
Non compliant requests within this quarter	15	Non compliant requests within this quarter	20
No of requests still open and within the 20 working days	28	No of requests still open and within the 20 working days	32

2007

Quarter 1		Quarter 2	
January – March		April - May	
Total number of requests received within this quarter	141	Total number of requests received within this quarter	69
No of requests compliant within this quarter	125	No of requests compliant within this quarter	36
Non compliant requests within this quarter	28	Non compliant requests within this quarter	11
No of requests still open and within the 20 working days	20	No of requests still open and within the 20 working days	14
		Request On Hold	1

No. where information was withheld due to exemptions/fees applied**Quarter 1: January - March****2007**

Request number:	Surname of requestor	Disclosure Type	Exemptions applied	Original request
82419	Taylor	Partial disclosure	s40 Personal Data	For each year 2005-06 and 2004-05, and for each individual included in questions 1, 2 and 3 above, the total Cash Equivalent Transfer Value (CETV) of his/her pension accrued by year end.
83242	Kingsley	Partial disclosure	s31 Law Enforcement	Elements of the Safety Certificate and supporting documentation which relates to plans, stewarding and policing, location of equipment and inspection of site
83888	Hayes	Fully exempt	s36 Prejudice to the effective conduct of public affairs	'Please give me the names of the schools and alternative education provisions that are causing the LA concern (which means that in the LA's judgement, unless remedial action is taken, the school might get an adverse judgement from Ofsted if it was inspected at the current time
94308	Knock	Partial disclosure	s43 Commercial Interest and s40 Personal Data	Provide a list of the repossessed properties in your borough.
84334	Hockenjos	Partial disclosure	s40 Personal Data	Management agreement between the London Borough of Islington and Homes for Islington.
85318	Fletcher	Fully exempt	s40 Personal Data	Please tell me the names of the people who live in Caister House, Roman Way, Islington, London N7 8XL
85726	Uddin	Fully exempt	s30 Investigations	I wanted to find out the outcome the interview you had concerning the above tenant on 23/11/06 at 10.30. Also what the Tenant said and any evidence that you may have regarding possible subletting anything confirming this that the tenant was renting the property while living some where else? As I have made a Court Application against the tenant due to rent arrears and would appreciate your help in this matter

Request number:	Surname of requestor	Disclosure Type	Exemptions applied	Original request
86996	Cloughton	Partial disclosure	s38 Health and Safety	The Council has it's own Kennel but the location is not disclosed to the public, for the protection of the animals in our care and the safety of the officers working there
87502	Bennett	Partial disclosure	s40 Personal Data	Personal Information which was included within the responses to the Archway Development Consultation has been blanked out
87640	Majid	Fully exempt	s40 Personal Data	The Council does not consider it appropriate to provide a list with the names of Parking Attendant.
89017	Davies	Partial disclosure	s41 Information provided in confidence	The price per share that the transaction was carried out at
89824	O'Keefe	Partial disclosure	s43 Commercial Interest	List of empty properties
90349	Cheung	Partial disclosure	s43 Commercial Interest	List of empty properties
91347	Pearson	Partial disclosure	s40 Personal Data	Parking Attendants details
96644	McLarty	Partial disclosure	s40 Personal Data	Parking Attendants names and address

Quarter 2: April - May

Request number:	Surname of requestor	Disclosure Type	Exemptions applied	Original request
101215	Mansfield	Partial disclosure	s12 Refusal notice	Copies of Parking attendants notes for in excess of 3000 Penalty Charge Notices
101847	Hosseinpour	Partial disclosure	s40 Personal Data	Addresses of empty properties
103469	Blunden	Partial disclosure	s12 Refusal notice	Copies of invoices for the past five years from the Renaisi consultancy to EC1 New Deal
103729	Titcombe	Partial disclosure	s12 Refusal notice	Has statutory intervention by your social services department been necessary in either of these cases
104374	Gordon-Smith	Partial disclosure	s40 Personal Data	Third party information redacted in repair history reports
110717	Peel	Partial disclosure	s40 Personal Data	Information on third parties

Industrial Action taken or notified

2005

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct – December
0	0	0	0

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct – December
1	0	0	0

2007

Quarter 1	Quarter 2
January – March	April – June
0	0

Number of Employment Tribunals received

2005

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct – December
1 new case 7 for same period last year	3 new cases 11 for the same period last year	3 new cases 11 for the same period last year.	1 new case 5 for the same period last year.

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct – December
5 new cases 1 for same period last year	7 new cases 3 for same period last year	9 new cases 3 for the same period last year	4 new cases 1 for the same period last year

2007

Quarter 1	Quarter 2
January – March	April – June
8 new cases	7 new cases
5 for same period last year	5 for the same period last year

Outstanding Cases

Number of outstanding cases is 30 and 2 multiple claims - (Pensions 58 individual claims and Equal Pay Homecarers 48 claimants).

17 outstanding cases and 2 multiple claims for same period last year.

Disposed of Cases

Number of cases disposed is 3.

2 cases were disposed of for the same period last year.

Number of New Ombudsman complaints received**2005**

	Quarter 1	Quarter 2	Quarter 3	Quarter 4
	January – March	April – June	July-Sept	October – December
	14	12	16	27
- Benefit	1	3	3	2
- Other housing	10	6	11	15
- Environment & Conservation	3	2	1	5
- Finance	-	1	-	-
- Social Services	-	-	1	-
- Education/CEA	-	-	-	1
- Council Tax	-	-	-	4

2006

	Quarter 1	Quarter 2	Quarter 3	Quarter 4
	January – March	April – June	July-Sept	October – December
	14	20	28	22
- Housing Performance and Property	11	9	8	6
- Homes for Islington		7	11	13
- Corporate Resources		2	1	-
- Social Services (Adult)	1			-
- Environment & Regeneration	1	2	4	2
- Customer Focus			3	-
- CEA			1	-
- Finance	1			1

2007

	Quarter 1		Quarter 2
	January – March		April – May
Total	35	Total	10
- Adult Services	1	- Adult Services	0
- Childrens services	1	- Childrens Services	0
- Environment & Regeneration	2	- Corporate Resources	1
- Finance	3	- Customer Focus	1
- Homes for Islington	12	- Environment & Regen.	1
- Housing Performance & Property	3	- Finance	2
- Other	3	- Homes for Islington	4
		- Housing Performance & Property	1

Number of Ombudsman complaints settled**2005**

	Quarter 1	Quarter 2	Quarter 3	Quarter 4
	January – March	April-June	July-Sept	October – December
Complaints settled	15	15	19	4 **
Total no. where compensation paid:	6	6	14	0
- Benefit	4	3	4	-
- Other Housing	2	3	8	-
- Social Services	-	-	1	-
- Finance	-	-	1	-

2006

	Quarter 1	Quarter 2	Quarter 3	Quarter 4
	January – March	April – June	July - Sept	October – December
Complaints settled	25	16	18	18
Total no. where compensation paid:	9	7	6	7
- Homes for Islington	12	12	6	3
- Environment & Regeneration	6	2	3	3
- Housing Needs & Strategy	1			-
- Finance	1	1		-
- Housing Perf. & Property	3	-	9	1
- Customer Focus	1	-	-	-
- Corporate Resources		1	-	-
- Social Services (C+F)	1	1	-	-

2007

	Quarter 1		Quarter 2
	January – March		April – May
Complaints settled	14	Complaints settled	16
Total no. where compensation paid:	4	Total no. where compensation paid:	6
- Childrens Services	1	- Adult Services	-
- Environment & Regeneration	3	- Childrens Services	-
- Finance	1	- Corporate Resources	-
- Homes for Islington	6	- Customer Focus	-
- Housing, Perf. & Property	3	- Environment & Regeneration	-
		- Finance	-
		- Homes for Islington	3
		- Housing Performance & Property	3

Ombudsman maladministration cases

There were no maladministration reports issued against the Council by the Ombudsman during this quarter.

Please note: the above figures may change slightly if the Ombudsman forwards updated information over the next couple of weeks.

5. Conclusion and Reasons for Recommendation

These indicators are provided at the request of the committee to help give the committee an indication of the health of the authority in relation to Standards and Ethics.

Background papers:

Statistics provided by the relevant departments.

Final Report Clearance

Signed by -----
Director of Corporate Resources Date -----

Received by -----
Head of Democratic Services Date -----

Report author : John Lynch
Tel : 020 7527 3002
Fax : 020 7527 3323
E-mail : john.lynch@islington.gov.uk