



Report of: Director of Corporate Resources

Meeting of	Date	Agenda Item	Ward(s)
Standards	14 Jan. 2008	7	

Delete as appropriate	Exempt	Non-exempt
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If exempt under para.10.4, category (1-15) of the Access to Information Rules give reasons. Because:

Subject: STANDARDS AND ETHICS INDICATORS

1. Synopsis

This report sets out a series of indicators which will help give members an indication of the health of the authority in relation to Standards and Ethics.

2. Recommendation

That the Committee consider the indicators for the fourth quarter and comment as appropriate.

3. Background

This report pulls together existing information which will help give members an indication of the health of the authority in relation to Standards and Ethics.

District Audit Public Interest Reports

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July - Sept	Oct – December
0	0	0	0

2007

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July - Sept	Oct – December
0	0	0	0

Objections to the Council's Accounts

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July - Sept	Oct – December
0	0	0	0

2007

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July - Sept	Oct – December
0	0	1 Dr.Sharpley	0

Referrals to and Investigations undertaken by the Standards Board for England

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July - Sept	Oct – December
1	0	0	1

2007

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July - Sept	Oct – December
1	0	0	1
			Allegation concerning misuse of Council resources in the publication of the Islington Now Magazine. The Standards Board decided not to refer the matter to an Ethical Standards officer for investigation.

Number of Whistleblowing Incidents reported

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct - December
1	3	1	1

2007

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July - Sept	Oct - December
0	0	0	1
			Relates to the award of a contract. Initially sent to a third party who forwarded it to the Council. Currently being investigated by Internal Audit.

Number of challenges to procurements**2006**

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July - Sept	Oct - December
0	1	1	0

2007

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July - Sept	Oct - December
0	0	0	0

Disciplinary action relating to breaches of the Member/Officer Protocol**2006**

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct - December
0	0	0	0

2007

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July - Sept	Oct - December
0	0	0	0

Disciplinary action relating to fraud

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct - December
0	0	0	0

2007

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct - December
0	0	0	0

Freedom of Information Act Indicators

2006

Quarter 1		Quarter 2	
January – March		April - June	
Total number of requests received within this quarter	118	Total number of requests received within this quarter	93
No of requests compliant within this quarter	103	No of requests compliant within this quarter	81
Non compliant requests within this quarter	15	Non compliant requests within this quarter	12
No of requests still open and within the 20 working days	0	No of requests still open and within the 20 working days	0

Quarter 3		Quarter 4	
July – September		Oct - December	
Total number of requests received within this quarter	122	Total number of requests received within this quarter	146
No of requests compliant within this quarter	77	No of requests compliant within this quarter	94
Non compliant requests within this quarter	15	Non compliant requests within this quarter	20
No of requests still open and within the 20 working days	28	No of requests still open and within the 20 working days	32

2007

Quarter 1		Quarter 2	
January – March		April - June	
Total number of requests received within this quarter	141	Total number of requests received within this quarter	114
No of requests compliant within this quarter	125	No of requests compliant within this quarter	89
Non compliant requests within this quarter	28	Non compliant requests within this quarter	29
No of requests still open and within the 20 working days	20	No of requests still open and within the 20 working days Request On Hold	1
		Number withheld due to exemptions/fees applied	13 partial 1 fully exempt

Quarter 3		Quarter 4	
July – September		October - December	
Total number of requests received within this quarter	105	Total number of requests received within this quarter	94
No of requests compliant within this quarter	87	No of requests compliant within this quarter	69
Non compliant requests within this quarter	36	Non compliant requests within this quarter	30
No of requests still open and within the 20 working days	14 open	No of requests still open and within the 20 working days	0
Number withheld due to exemptions/fees applied	4	Number withheld due to exemptions/fees applied	9

No. where information was withheld due to exemptions/fees applied

Quarter 1: January - March

2007

Request number:	Surname of requestor	Disclosure Type	Exemptions applied	Original request
82419	Taylor	Partial disclosure	s40 Personal Data	For each year 2005-06 and 2004-05, and for each individual included in questions 1, 2 and 3 above, the total Cash Equivalent Transfer Value (CETV) of his/her pension accrued by year end.
83242	Kingsley	Partial disclosure	s31 Law Enforcement	Elements of the Safety Certificate and supporting documentation which relates to plans, stewarding and policing, location of equipment and inspection of site
83888	Hayes	Fully exempt	s36 Prejudice to the effective conduct of public affairs	'Please give me the names of the schools and alternative education provisions that are causing the LA concern (which means that in the LA's judgement, unless remedial action is taken, the school might get an adverse judgement from Ofsted if it was inspected at the current time
94308	Knock	Partial disclosure	s43 Commercial Interest and s40 Personal Data	Provide a list of the repossessed properties in your borough.
84334	Hockenjos	Partial disclosure	s40 Personal Data	Management agreement between the London Borough of Islington and Homes for Islington.
85318	Fletcher	Fully exempt	s40 Personal Data	Please tell me the names of the people who live in Caister House, Roman Way, Islington, London N7 8XL
85726	Uddin	Fully exempt	s30 Investigations	I wanted to find out the outcome the interview you had concerning the above tenant on 23/11/06 at 10.30. Also what the Tenant said and any evidence that you may have regarding possible subletting anything confirming this that the tenant was renting the property while living some where else? As I have made a Court Application against the tenant due to rent arrears and

Request number:	Surname of requestor	Disclosure Type	Exemptions applied	Original request
				would appreciate your help in this matter
86996	Claughton	Partial disclosure	s38 Health and Safety	The Council has it's own Kennel but the location is not disclosed to the public, for the protection of the animals in our care and the safety of the officers working there
87502	Bennett	Partial disclosure	s40 Personal Data	Personal Information which was included within the responses to the Archway Development Consultation has been blanked out
87640	Majid	Fully exempt	s40 Personal Data	The Council does not consider it appropriate to provide a list with the names of Parking Attendant.
89017	Davies	Partial disclosure	s41 Information provided in confidence	The price per share that the transaction was carried out at
89824	O'Keefe	Partial disclosure	s43 Commercial Interest	List of empty properties
90349	Cheung	Partial disclosure	s43 Commercial Interest	List of empty properties
91347	Pearson	Partial disclosure	s40 Personal Data	Parking Attendants details
96644	McLarty	Partial disclosure	s40 Personal Data	Parking Attendants names and address

Quarter 2: April - June

Request number:	Surname of requestor	Disclosure Type	Exemptions applied	Original request
101215	Mansfield	Partial disclosure	s12 Refusal notice	Copies of Parking attendants notes for in excess of 3000 Penalty Charge Notices
101847	Hosseinpour	Partial disclosure	s40 Personal Data	Addresses of empty properties
103469	Blunden	Partial disclosure	s12 Refusal notice	Copies of invoices for the past five years from the Renaisi consultancy to EC1 New Deal
103729	Titcombe	Partial disclosure	s12 Refusal notice	Has statutory intervention by your social services department been necessary in either of these cases
104374	Gordon-Smith	Partial disclosure	s40 Personal Data	Third party information 'blanked out' repair history reports

Request number:	Surname of requestor	Disclosure Type	Exemptions applied	Original request
110717	Peel	Partial disclosure	s40 Personal Data	Information on third parties

Quarter 3: July - September

Request number:	Surname of requester	Disclosure Type	Exemptions applied	Original request
96644	R McLarty	Partial disclosure	s40 Personal Info	Parking Attendants details
93471	Roger Titcombe	Partial disclosure	Q2 Problem adoptions this info was refused as it would take longer than 18hrs to retrieve	Problem adoptions that have required statutory intervention by your social Services department.
94420	Gunnar Aegisson	Fully exempt	s43 Commercial Interests	a copy of the viability document compiled by consultants Donaldsons, with regard to draft SDP for Archway
98714	Famida Mahmood	Partial disclosure	q10 refusal s12 (provided breakdown of stats)	A copy of all records, complaints and tickets cancelled by LBI where Parking Attendant was at fault
99327	Alexander Fadipe	Partial disclosure	s40 Personal Info	Copies of the original applications put in by successful candidates for each of the two posts
105356	Annabel Boltsa	Partial disclosure	s40 personal info	all complaints from the tenant
101847	Pouya Hosseinpour	Partial disclosure	s40 Personal Information	Addresses of empty properties
101215	Roddy Mansfield	Partial disclosure	s12 refusal notice	copy of Parking attendants notes for in excess of 3000 Penalty Charge Notices
103729	Roger Titcombe	Partial disclosure	s12 refusal notice	<i>Has statutory intervention by your social services department been necessary in either of these cases? If so please provide the grounds for intervention and the outcome.</i>
103469	Mark Blunden	Partial disclosure	S12 refusal notice	supply full annual invoices for the past five years from the Renaisi consultancy to EC1 New Deal.
104374	Justine Gordon-Smith	Partial disclosure	s40 Personal Info	3rd party info 'blanked out' from the ticket history
110714	James Peel	Partial disclosure	s40 Personal Info	3rd party info blanked out.

Request number:	Surname of requester	Disclosure Type	Exemptions applied	Original request
113450	Cheryl Gillet	Partial disclosure	s43'Commercial Sensitivity' and s40 Personal Data	details of arrears of rent / service charges of individual tenants / leaseholders and breakdown of price schedules
113420	Eugen Hockenjos	Partial disclosure	s43 Commercial Sensitive	breakdown of price schedules
114379	Andrew Stunnell MP	Partial disclosure	s12 Refusal notice for Q6	What is the approximate total value of goods seized by your Trading Standards Department each year for the last five years
126425	R McLarty	Partial disclosure	s40 personal info	Staffs names and address
126291	Leila Guezo	Partial disclosure	S40 Personal information applied to addresses	Addresses of Right to Buy properties
132398	Lila Allen	Partial disclosure	s40 personal info	3 rd Party Info
130376	Trevor Jones	Partial disclosure	s12 refusal notice for the last 7 years provided information for years 2005-2007	The number of adoptions and breakdown of age for the last 7 years
96644	R McLarty	Partial disclosure	s40 Personal Info	Parking Attendants details
93471	Roger Titcombe	Partial disclosure	Q2 Problem adoptions this info was refused as it would take longer than 18hrs to retrieve	Problem adoptions that have required statutory intervention by your social Services department.
94420	Gunnar Aegisson	Fully exempt	s43 Commercial Interests	a copy of the viability document compiled by consultants Donaldsons, with regard to draft SDP for Archway
98714	Famida Mahmood	Partial disclosure	q10 refusal s12 (provided breakdown of stats)	A copy of all records, complaints and tickets cancelled by LBI where Parking Attendant was at fault

Quarter 4 October – December 2007

Request number:	Surname of requester	Disclosure Type	Exemptions applied	Original request
136175	Cooper	Partial disclosure	s40 Personal Info	In regards to planning application P071659 (46-52 Holloway Road Islington N7 8JL) provide the observations and any representations on this planning application presented by the C&DP
137950	Davey	Partial disclosure	s40 Personal Info	Details of every individual compensation claim paid out in 2006
139117	Davey	Partial disclosure	s40 Personal Info	How much money Islington council spent on private consultants in the last tax year The names of all of these consultants, the amount each received and what they were paid for
140506	Harding	Partial disclosure	s43 Commercial Sensitive	Contracts that exist between your council and any company of Bailiffs that are under contract with you for the collection of Council Tax and Business Rate arrears, Parking Charge Notices and any others debts.
140924	Sudlow	Partial disclosure	s43'Commer- cial Sensitivity' and s40 Personal Data	copy of the current contractual arrangements between the Council and your retained managing agents Erinaceous,including any supporting documentation.
143185	Humphreys	Partial disclosure	s40 personal info	Details for every call out the Islington Noise Patrol attended in 2006 and also in 2007 to date, including address, time, date, nature of complaint, decibel level and action taken.
143256	Haq	Fully Exempt	s40 Personal Information and s17 Refusal notice	3rd parties contact details and address

Request number:	Surname of requester	Disclosure Type	Exemptions applied	Original request
144429	Howard	Partial disclosure	s40 personal info	any documents concerning the consideration of and decision taken to fell the pear trees in St John's Villas, such as agendas and minutes of relevant committee meetings, expert reports, and notes of executive decisions;
148550	Templeton	Partial disclosure	s40 personal info	properties which have been empty for over 6 months in the borough. 1) Addresses of these properties 2) names of known owners of these properties 3) Recent contact addresses for these property owners 4) The most recent phone number(s)

Industrial Action taken or notified

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct – December
1	0	0	0

2007

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July - Sept	Oct - December
0	0	0	0

Number of Employment Tribunals received

2006

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct – December
5 new cases 1 for same period last year	7 new cases 3 for same period last year	9 new cases 3 for the same period last year	4 new cases 1 for the same period last year

2007

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July - Sept	Oct - December
8 new cases	7 new cases	4 new cases	4 new cases
5 for same period last year	5 for the same period last year	9 for the same period last year	4 for the same period last year

Outstanding Cases

Number of outstanding cases is 31 and 2 multiple claims - (Pensions 58 individual claims and Equal Pay Homecarers 48 claimants).

15 outstanding cases and 2 multiple claims for same period last year.

Disposed of Cases

Number of cases disposed is 3.

8 cases were disposed of for the same period last year.

Number of New Ombudsman complaints received**2006**

	Quarter 1	Quarter 2	Quarter 3	Quarter 4
	January – March	April – June	July-Sept	October – December
	14	20	28	22
- Housing Performance and Property	11	9	8	6
- Homes for Islington		7	11	13
- Corporate Resources		2	1	-
- Social Services (Adult)	1			-
- Environment & Regeneration	1	2	4	2
- Customer Focus			3	-
- CEA			1	-
- Finance	1			1

2007

	Quarter 1	Quarter 2	Quarter 3	Quarter 4
	January – March	April – June	July- Sept	Oct - Dec
Total	35	16	21	12
- Adult Services	1	1		
- Childrens services	1			0
- Environment & Regeneration	2	2	2	0
- Finance	3	2	2	1
- Homes for Islington	12	5		7
- Housing Performance & Property	3	4		
- Corporate Resources		1	1	0
- Customer Focus		1	3	1
- Other	3			
- Housing and Adult Social Services				3

Number of Ombudsman complaints settled

2006

	Quarter 1	Quarter 2	Quarter 3	Quarter 4
	January – March	April – June	July - Sept	October – December
Complaints settled	25	16	18	18
Total no. where compensation paid:	9	7	6	7
- Homes for Islington	12	12	6	3
- Environment & Regeneration	6	2	3	3
- Housing Needs & Strategy	1			-
- Finance	1	1		-
- Housing Perf. & Property	3	-	9	1
- Customer Focus	1	-	-	-
- Corporate Resources		1	-	-
- Social Services (C+F)	1	1	-	-

2007

	Quarter 1	Quarter 2	Quarter 3	Quarter 4
	January – March	April – June	July-Sept	Oct - Dec
Complaints settled	14	23	12	14
Total no. where compensation paid:	4	10	4	4
- Childrens Services	1			0
- Environment & Regeneration	3			0
- Finance	1		1	0
- Homes for Islington	6	7	1	2
- Housing, Perf. & Property	3	3		
- Customer Focus			2	0
- Housing and Adult Social Services				1
- Corporate Resources				1

Ombudsman maladministration cases

There were no maladministration reports issued against the Council by the Ombudsman during this quarter.

Please note: the above figures may change slightly if the Ombudsman forwards updated information over the next couple of weeks.

5. Conclusion and Reasons for Recommendation

These indicators are provided at the request of the committee to help give the committee an indication of the health of the authority in relation to Standards and Ethics.

Background papers:

Statistics provided by the relevant departments.

Final Report Clearance

Signed by -----
Director of Corporate Resources Date

Received by -----
Head of Democratic Services Date

Report author : John Lynch
Tel : 020 7527 3002
Fax : 020 7527 3323
E-mail : john.lynch@islington.gov.uk