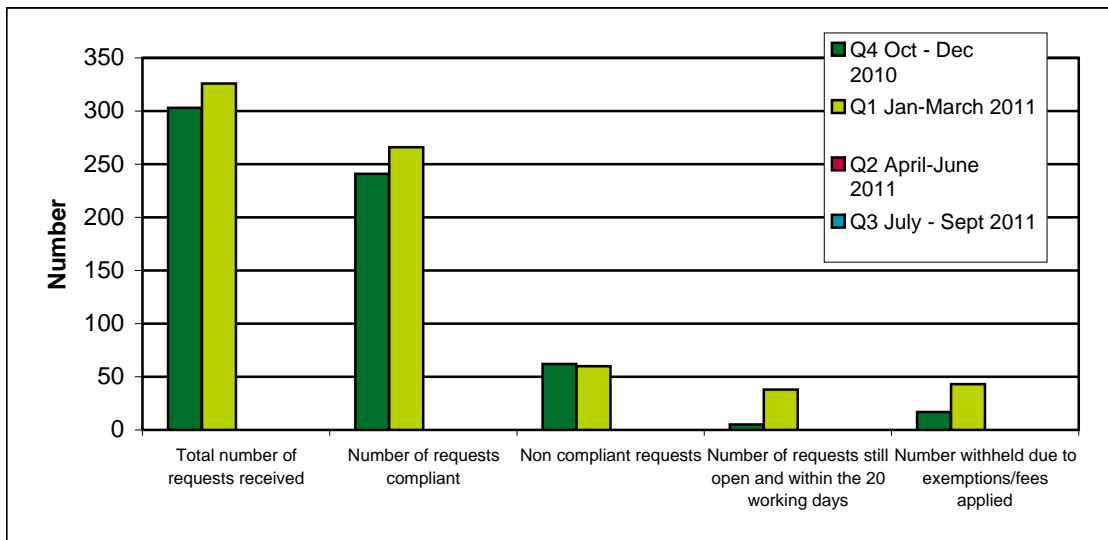


Appendix A - Standards and Ethics Indicators 2011



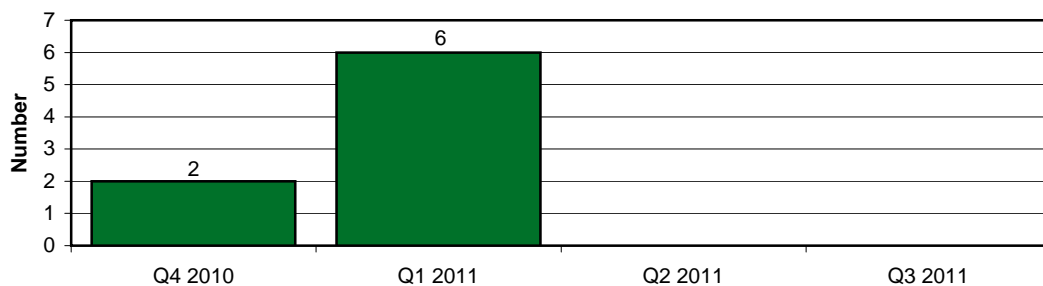
326 FOI requests were received between January and March (Quarter 1). Of the 326 requests received in Q1 266 were compliant and 60 non compliant and 43 were withheld due to exemptions fees supplied (25 partial disclosure and 18 fully exempt).

In Q1 there were 38 requests still open and within 20 days;

Appendix B details requests where information was withheld due to exemptions/fees applied and overdue requests that are still open.

Employment Tribunals

New Cases



Outstanding cases - Number of outstanding cases is 12 and 2 multiple claims - (Pensions 26 individual pension claims, 20 Equal Pay Homecarers Claimants)

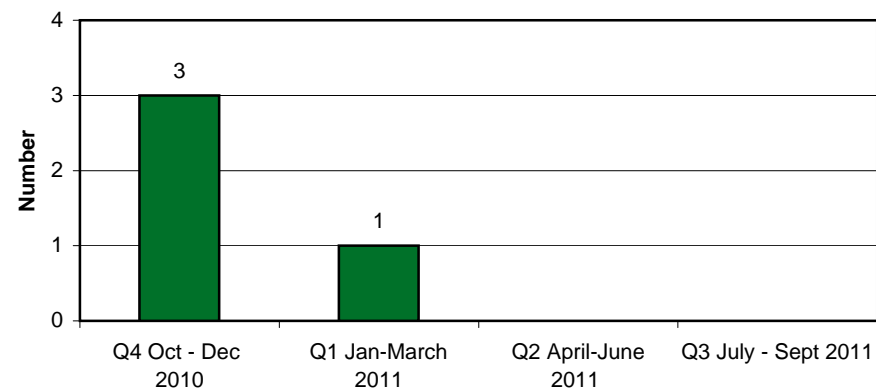
Disposed of cases - Number of cases disposed of is 8 (4 won, 4 settled).

1 case was disposed of for the same period last year

Types of cases - Of the 6 cases brought against the Council this quarter, there were 3 claims of unfair dismissal, 1 of race discrimination, 1 of disability and sex discrimination, 2 for wages/overtime/holiday pay.

Numbers include claims against HFI (3 active, 1 new and immediately settle, 1 new and struck out in Q1 2011).

Number of Whistleblowing Incidents reported

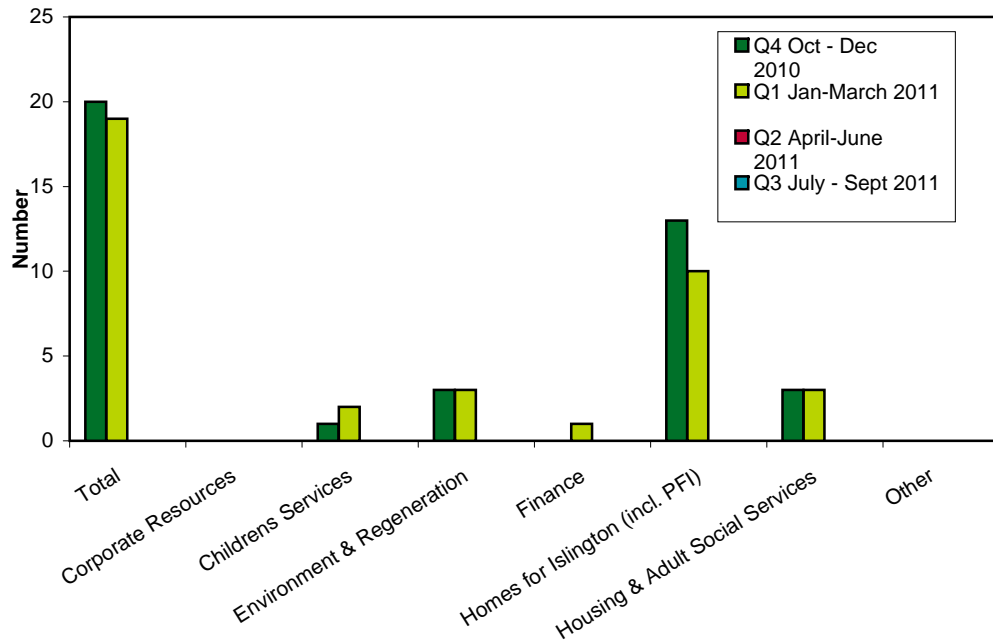


Quarter 4 - one incident related to alleged failure to following whistleblowing policy - not upheld; one incident related to allegations concerning waste management - not upheld; one incident related to allegation of bullying - being investigated.

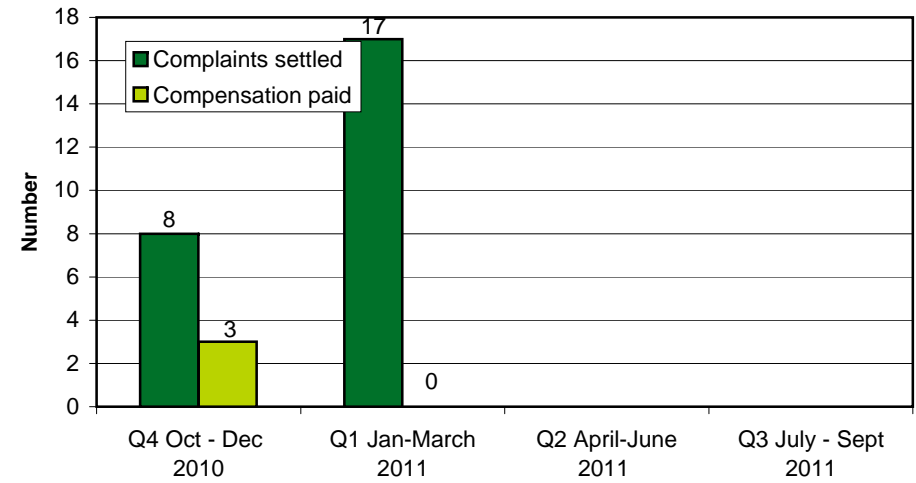
Quarter 1 - one incident relating to the Youth Offending Service

Ombudsmen Complaints

New Ombudsmen Complaints Received by Service Area



Number of Complaints Settled and Compensation Paid



Number of cases where compensation paid by service area

Q4 - 3;
Q1 - 0;

Ombudsman maladministration cases - There were no maladministration reports issued against the Council during Q1

No Cases Reported

No cases were reported during 2010 for the following:
 District Audit Public Interest Reports
 Objections to the Councils Accounts
 Referrals to and investigations undertaken by the Standards Board for England
 Number of challenges to procurement
 Disciplinary action relating to breaches of the Member/Officer Protocol
 Disciplinary action relating to fraud

Industrial Action

No Industrial Action was taken or notified during 2010; No Industrial Action was taken or notified during Q1 2011.

Complaints submitted to the Initial Assessment Sub-Committee

