

2004/05 ratings by department

	S	R	L	N	T	
Adult Social Services	2	12	8		22	
Childrens' Services	7	34	14	1	56	
Corporate Projects		3	1		4	
Corporate Resources		4	2	1	7	
Customer Focus	2	11	10		23	
Environment & Regeneration	1	6	5		12	
Financial Systems		10	2		12	
Homes for Islington	4	10	5		19	67%
Housing, Performance & Property		8	5	1	14	
	16	98	52	3	169	

2005/06 ratings by department

	S	R	L	N	NR	T	
Adult Social Services	3	13	3		1	20	
Childrens' Services	4	22	4	1	2	33	
Corporate Projects		3	3		1	7	
Corporate Resources	2		2			4	
Customer Focus	3	4				7	
Environment & Regeneration			4	2		6	
Financial Systems	1	5	3		5	14	
Homes for Islington	1	7	2		1	11	66%
Housing, Performance & Property		2	2			4	
	14	56	23	3	10	106	

APPENDIX B

Internal Audit Annual Plan 2005/06 - status of projects

project	quarter	status	assurance rating	draft issued	response	comments
Adult Social Services						
Business Unit - Wray Court	1	completed	reasonable	16.09.05	18.10.05	
Business Unit - SS Transport	1	completed	limited	16.09.05	24.11.05	
64 Lough Road	1	completed	reasonable	28.06.05	25.07.05	
Head Office petty cash	1	completed	substantial	14.07.05	19.10.05	
43 Ashley Road follow-up	1	completed	reasonable	04.07.05	18.07.05	
Leigh Road	1	completed	reasonable	12.08.05	16.09.05	
Home Care Assessment	1	completed	reasonable	29.07.05	N/A	no recommendations were necessary
Client Bank Accounts	2	completed	limited	22.09.05	02.03.06	
Archway Community Care Centre	2	completed	reasonable	20.09.05	04.11.05	
Islington Outlook	2	completed	reasonable	04.08.05	21.10.05	
Occupational Therapy Unit	2	completed	substantial	10.08.05	24.08.05	
Clerkenwell Project	2	completed	reasonable	20.07.05	06.09.05	
iCARE	2	completed	reasonable	07.09.05	03.10.05	
Invoice Processing (SWIFT)	3	cancelled				project deferred -new software development
People with access to Public Funds	3	report issued	reasonable	09.03.06		reminders dated 31.03.06, 25.04.06, 08.05.06
Case Management Review	3	completed	reasonable	15.11.05	21.03.06	
Learning Disabled Day Centre	3	completed	reasonable	13.12.05	10.01.06	
Mental Health Crisis Team (South)	3	completed	limited	01.02.06	14.03.06	
Emergency Duty Team's overtime claims	4	completed	substantial	27.03.06	N/A	no recommendations were necessary
Drayton Park Community Care Centre	4	report issued	substantial	11.05.06		
Canonbury Community Care Centre	4	completed	reasonable	16.02.06	20.03.06	
<i>Total: 20 projects</i>						
Childrens' Services						
Business Unit - 114 Grosvenor Avenue	1	completed	reasonable	16.09.05	30.11.05	
Hugh Myddelton follow-up	1	completed	substantial	14.07.05	06.09.05	
Pooles Park follow-up	1	completed	reasonable	06.06.05	28.06.05	
Pakeman follow-up	1	completed	reasonable	13.06.05	21.06.05	
project	quarter	status	assurance rating	draft issued	response	comments

Internal Audit Annual Plan 2005/06 - status of projects

project	quarter	status	assurance rating	draft issued	response	comments
<i>Childrens' Services continued</i>						
Canonbury School	1	completed	reasonable	20.07.05	14.11.05	
Childrens Active Involvement Service follow-up	2	completed	limited	15.08.05	20.09.05	
Ambler School follow-up	2	completed	reasonable	18.10.05	13.12.05	
Recoupment	2	completed	reasonable	11.11.05	02.12.05	
Morelands School follow-up	2	completed	substantial	04.11.05	10.11.05	
School Catering Contract follow-up	2	completed	reasonable	17.10.05	17.11.05	
Highbury Quadrant Primary School	2	completed	limited	21.07.05	10.10.05	
St Johns Highbury Vale School	2	completed	reasonable	19.07.05	22.11.05	
Hargrave Park School	2	completed	limited	04.08.05	18.08.05	
North Islington Nursery follow-up	2	completed	reasonable	04.11.05	03.01.06	
St. Mary's School	2	completed	substantial	12.10.05	03.11.05	
Hanover School follow-up	3	completed	reasonable	04.11.05	05.12.05	
Play & Youth Projects Expenditure	3	completed	no assurance	28.02.06	01.03.06	
Colgrain	3	completed	reasonable	01.02.06	09.02.06	
Elizabeth Garrett Anderson School	3	completed	reasonable	20.07.05	15.09.05	
Goodinge Early Years Centre	3	completed	reasonable	03.11.05	11.11.05	
Highbury Fields School	3	completed	reasonable	08.02.06	09.03.06	
Bridge School	3	completed	reasonable	16.12.05	30.01.06	
St. Aloysius College	3	completed	limited	27.01.06	07.02.06	
Mount Carmel College	3	completed	reasonable	12.12.05	18.01.06	
Highbury Grove & CLC follow-up	3	completed	reasonable	31.10.05	16.11.05	
Central Foundation	3	completed	substantial	09.02.06	05.04.06	
Prior Weston School	4	completed	reasonable	16.02.06	14.03.06	
Vittoria School	4	completed	reasonable	10.04.06	26.04.06	
Hungerford School	4	report issued	reasonable	12.05.06		
Clerkenwell Parochial School	4	report issued	limited	12.05.06		
St Andrews School	4	completed	reasonable	22.03.06	31.03.06	
Sacred Heart	4	completed	reasonable	31.03.06	10.04.06	
<i>Childrens' Services continued</i>						

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Grafton School	4	completed	reasonable	15.03.06	11.05.06	
Highbury Quadrant follow-up	4	report issued	reasonable	06.02.06		reminders dated 02.03.06, 17.03.06, 31.03.06
<i>Total: 34 projects</i>						
Corporate Projects						
Grant Claims	2	completed	reasonable	09.11.05	20.12.05	
Money Laundering Arrangements	3	completed	limited	27.01.06	06.02.06	
BVPI Review	3	replaced				replaced with BVPI 174/175 and 2 PSA reviews
Procurement	3	deferred				changes to purchase card scheme
BVPIs 174/175 Racial Harassment Review	3	completed	reasonable	21.03.06	26.04.06	
Criminal Records Bureau Verification	3	completed	reasonable	19.12.05	13.01.06	
Scheme of Delegation	3	completed	limited	27.02.06	12.05.06	
Budget Monitoring and Control Review	3	completed	limited	25.01.06	24.03.06	
Public Service Agreements Part 1	3	completed	reasonable	25.11.05	18.01.06	
Freedom of Information	4	deferred				new tracking process under development
RIPA	4	completed	reasonable	13.04.06	21.04.06	
Public Service Agreements Part 2	4	completed	reasonable	15.02.06	31.03.06	
<i>Total: 9 projects</i>						
Corporate Resources						
Petty Cash control follow-up	1	completed	substantial	06.07.05	25.07.05	
Comensura follow-up	2	completed	limited	11.10.05	10.01.06	
Equalities Unit	2	completed	limited	19.08.05	14.10.05	
Registrars	3	completed	substantial	28.03.06	29.04.06	
<i>Total: 4 projects</i>						
Customer Focus						
John Barnes Library	1	completed	reasonable	20.05.05	20.06.05	
South Library	1	completed	reasonable	20.05.05	23.06.05	
project	quarter	status	assurance rating	draft issued	response	comments
<i>Customer Focus continued</i>						
Cashiers and Contact Islington	4	report issued	reasonable	05.05.06		
West Library	1	completed	substantial	20.04.05	11.05.05	
Parking Refunds follow-up	1	completed	substantial	28.06.05	N/A	no recommendations were necessary

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IT Strategy	2	completed	reasonable	16.09.05	10.01.06	
TSG Contract Management	2	completed	reasonable	11.11.05	16.12.05	
Housing IT	2	cancelled				resources redeployed as agreed with clients
New Systems Implementation	3	deferred				resources redeployed as agreed with clients
Council Tax Migration	3	deferred				resources redeployed as agreed with clients
Archway Library	3	completed	substantial	05.12.05	12.12.05	
Disaster Recovery/Business Continuity	4	deferred				client request for facilitated workshops 2006/07
<i>Total: 8 projects</i>						
Environment and Regeneration						
Business Unit - Waste Management	1	completed	limited	16.09.05	10.10.05	
Building Control Contract Management	2	completed	no assurance	11.11.05	08.12.05	
Car Parking Contract Management	2	completed	limited	11.11.05	05.12.05	
Finsbury Park Project	3	completed	limited	01.03.06	10.05.06	
Somali Welfare Centre	2	completed	no assurance	20.12.05	31.01.06	
Partnership Provision	4	deferred				agreed with client
Ecology Centre	4	completed	limited	22.03.06	19.04.06	
<i>Total: 6 projects</i>						
Finance/Fundamental Systems						
Payroll	2	completed	limited	25.10.05	13.12.05	
Council Tax	3	report issued	reasonable	10.05.06		
Creditors	3	completed	limited	24.01.06	10.03.06	
Pensions	4	report issued	reasonable	19.05.06		
Treasury Management	4	report issued	reasonable	10.05.06		
Revenue Control	4	report issued	reasonable	31.03.06		reminders dated 25.04.06, 08.05.06
project	quarter	status	assurance rating	draft issued	response	comments
<i>Finance/Fundamental Systems cont'd</i>						
Debtors	4	completed	reasonable	27.02.06	23.03.06	
Main Accounting System	4	completed	reasonable	25.01.06	01.03.06	
Pensions Investments	4	report issued	reasonable	19.05.06		
NNDR	4	report issued	substantial	27.04.06		
<i>Total: 10 projects</i>						

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Homes for Islington						
TMOs - operational review	1	completed	limited	14.07.05	23.09.05	
Business Planning Arrangements	1	completed	reasonable	27.05.05	16.06.05	
Homes for Islington Contract Management	2	completed	reasonable	11.11.05	01.12.05	
Service Charges Data Migration	3	deferred				slippage in client project implementation
Garage Income follow-up	2	completed	reasonable	09.09.05		no recommendations were necessary
Homes for Islington petty cash	2	completed	substantial	27.09.05		no recommendations were necessary
Lyon Street Area Housing Office	2	completed	reasonable	08.09.05	12.10.05	
Housing Base Data Return	3	completed	reasonable	13.01.06	03.02.06	
Budget Monitoring and Control Review (HFI)	3	completed	reasonable	25.01.06	08.02.06	
TMOs phase 2	3	completed	limited	20.01.06	09.02.06	
Housing Rents	4	report issued	substantial	19.05.06		
Housing Repairs	4	completed	reasonable	07.04.06	12.05.06	
<i>Total: 11 projects</i>						
Housing, Performance and Property						
HB Suppressions	2	completed	limited	15.07.05	05.08.05	
Supporting People Payments follow-up	2	completed	limited	11.10.05	09.11.05	
Commercial Rent Income follow-up	2	completed	reasonable	31.10.05	12.01.06	
Asset Disposal	3	completed	limited	24.11.05	06.01.06	
Housing Benefits	3	completed	reasonable	06.02.06	29.03.06	
Homelessness Contract Management	4	deferred				contract being re-tendered
<i>Total: 5 projects</i>						