



# ISLINGTON

Corporate Resources  
Town Hall, Upper Street, London N1 2UD

Report of : Director of Corporate Resources

Meeting of		Agenda Item	Ward(s)
Standards	17 Oct 2006	9	

Delete as appropriate	Exempt	Non-exempt

If exempt under paragraph 10.4, category (1-15) of the Access to Information rules give reasons. Because:

## Subject: STANDARDS AND ETHICS INDICATORS

### 1. Synopsis

This report sets out a series of indicators which will help give members an indication of the health of the authority in relation to Standards and Ethics.

### 2. Recommendation

That the Committee consider the indicators for the second and third quarters, April - June and July - September 2006, and comment as appropriate.

### 3. Background

This report pulls together existing information which will help give members an indication of the health of the authority in relation to Standards and Ethics.

### District Audit Public Interest Reports

2005

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	October – December
0	0	0	0

## District Audit Public Interest Reports

2006

Quarter 1	Quarter 2	Quarter 3
January – March	April – June	July - Sept
0	0	0

## Objections to the Council's Accounts

2005

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April-June	July-Sept	October – December
0	0	1	0

2006

Quarter 1	Quarter 2	Quarter 3
January – March	April – June	July - Sept
0	0	0

## Referrals to and Investigations undertaken by the Standards Board for England

2005

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April-June	July - Sept	October – December
3	2	0	3

2006

Quarter 1	Quarter 2	Quarter 3
January – March	April – June	July - Sept
1	0	0

## **Number of Whistleblowing Incidents reported**

**2005**

<b>Quarter 1</b>	<b>Quarter 2</b>	<b>Quarter 3</b>	<b>Quarter 4</b>
<b>January – March</b>	<b>April-June</b>	<b>July-Sept</b>	<b>Oct - December</b>
0	3	2	0

**2006**

<b>Quarter 1</b>	<b>Quarter 2</b>	<b>Quarter 3</b>
<b>January – March</b>	<b>April – June</b>	<b>July-Sept</b>
1	3	1

One complaint about financial irregularities at a secondary school in the borough which is currently being investigated by Internal Audit.

## **Number of challenges to procurements**

**2005**

<b>Quarter 1</b>	<b>Quarter 2</b>	<b>Quarter 3</b>	<b>Quarter 4</b>
<b>January – March</b>	<b>April-June</b>	<b>July-Sept</b>	<b>Oct - December</b>
0	0	0	0

**2006**

<b>Quarter 1</b>	<b>Quarter 2</b>	<b>Quarter 3</b>
<b>January – March</b>	<b>April – June</b>	<b>July - Sept</b>
0	1	1

1 challenge in Quarter 3 from CM2000 regarding the Audit Services Call Monitoring System.

## **Disciplinary action relating to breaches of the Member/Officer Protocol**

**2005**

<b>Quarter 1</b>	<b>Quarter 2</b>	<b>Quarter 3</b>	<b>Quarter 4</b>
<b>January – March</b>	<b>April-June</b>	<b>July-Sept</b>	<b>Oct - December</b>
0	0	0	0

**2006**

<b>Quarter 1</b>	<b>Quarter 2</b>	<b>Quarter 3</b>
<b>January – March</b>	<b>April – June</b>	<b>July-Sept</b>

0	0	0
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**Disciplinary action relating to fraud**

**2005**

<b>Quarter 1</b>	<b>Quarter 2</b>	<b>Quarter 3</b>	<b>Quarter 4</b>
<b>January – March</b>	<b>April – June</b>	<b>July-Sept</b>	<b>Oct - December</b>
0	0	0	0

**2006**

<b>Quarter 1</b>	<b>Quarter 2</b>	<b>Quarter 3</b>
<b>January – March</b>	<b>April – June</b>	<b>July-Sept</b>
0	0	0

**Freedom of Information Act Indicators**

**2005**

<b>Quarter 1</b>		<b>Quarter 2</b>	
<b>January – March</b>		<b>April – June</b>	
Total number of requests received within this quarter	109	Total number of requests received within this quarter	106
No of requests compliant within this quarter	52	No of requests compliant within this quarter	59
Non compliant requests within this quarter	57	Non compliant requests within this quarter	47
No of requests still open and within the 20 working days	0	No of requests still open and within the 20 working days	0

**2005**

<b>Quarter 3</b>		<b>Quarter 4</b>	
<b>July – September</b>		<b>October - December</b>	
Total number of requests received within this quarter	68	Total number of requests received within this quarter	102
No of requests compliant within this quarter	45	No of requests compliant within this quarter	85
Non compliant requests within this quarter	23	Non compliant requests within this quarter	17
No of requests still open and within the 20 working days	0	No of requests still open and within the 20 working days	0

**2006**

<b>Quarter 1</b>		<b>Quarter 2</b>	
<b>January – March</b>		<b>April - June</b>	
Total number of requests received within this quarter	118	Total number of requests received within this quarter	93
No of requests compliant within this quarter	103	No of requests compliant within this quarter	81
Non compliant requests within this quarter	15	Non compliant requests within this quarter	12
No of requests still open and within the 20 working days	0	No of requests still open and within the 20 working days	0

<b>Quarter 3</b>	
<b>July – September</b>	
Total number of requests received within this quarter	122
No of requests compliant within this quarter	77
Non compliant requests within this quarter	15
No of requests still open and within the 20 working days	28

**No. where information was withheld due to exemptions/fees applied**

**Quarter 1: January – March**

**2006**

<b>Request number:</b>	<b>Surname of requestor</b>	<b>Exemptions applied</b>	<b>Original request</b>
33142	Whelan	s22 Information intended for future publication.	A copy of the Information Governance Strategy (incorporating Records Management)
35381	Lewis	s44 Prohibited by another Act 7, 8 and 10 of Schedule 12A of the LGA 1972	Copies of reports on behalf, or for the Council in advance of it entering into the contract.
37775	Lewars	s43 Commercial Interests	List of empty residential properties (partial i.e. addresses were withheld – figures were released)
38435	Chapman	s43 Commercial Interests	The Finsbury Town Hall contract (partially exempt)

**Quarter 2: April - June**

**2006**

<b>Request number:</b>	<b>Surname of requestor</b>	<b>Exemptions applied</b>	<b>Original request</b>
45061	Blunden	s 43 Commercial Interest	Please tell me how much the Islington Gazette newspaper tendered for the most recent Islington Council legal notices advertising contract.
46896	Beale	Fees notice	Copy of the PFI 1 Contract
50467	Lee	Fees Notice	Figure of how many permission to park applications relating to Zone A.

<b>Request number:</b>	<b>Surname of requestor</b>	<b>Exemptions applied</b>	<b>Original request</b>
52089	Lewis	s43 Commercial Interest	A list of empty /abandoned properties within the borough S43 commercial interests
52709	Protopapa	s40 Personal data	Names or numbers of 2 female Parking Attendants on duty at the time & in the location - s40 personal data
52833	Edwards	s43 Commercial Interest	How many of the Employment Tribunals resulted in a financial settlement and how much were the settlements for?
53805	Wilkins	s40 Personal data	Copies of the CCTV record books for the last 3 months Copy of the systems registration application

**Quarter 3: July – September 2006**

<b>Request number:</b>	<b>Surname of requestor</b>	<b>Exemptions applied</b>	<b>Original request</b>
55336	Pisanelli	s40 Personal data	Name of Parking Attendants
56280	Jackson	s40 Personal data	Information withheld related to other staff's sickness, redundancy and disciplinaries
61305	Swallow	Section 41 to info provided in confidence	We'd like to know if there have been any noise issues with our immediate neighbours.
62020	Stannard	s40 Personal data	Appeal now.com request numbered 1-23 -
62213	Chapman	s42 legal professional privilege	The written opinion(s) and/or record(s) on paper or by email between Nigel Giffin QC

Request number:	Surname of requestor	Exemptions applied	Original request
			and solicitors at Islington Council
62896	D'Silva	s40 Personal data	appealnow.com enquiry numbered 1 -23
63329	Gad el Rab	Part exempt s40 Personal data	s40 redacted the owners address and telephone details as it was personal and not company Personal Data
63616	Powner	s43 Commercial Interest	Please can you confirm the amount of mobile phones under contract by the council and the date that these will be out of contract

### Industrial Action taken or notified

#### 2005

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct – December
0	0	0	0

#### 2006

Quarter 1	Quarter 2	Quarter 3
January – March	April – June	July-Sept
1	0	0

### Number of Employment Tribunals received

#### 2005

Quarter 1	Quarter 2	Quarter 3	Quarter 4
January – March	April – June	July-Sept	Oct – December
1 new case 7 for same period last year	3 new cases 11 for the same period last year	3 new cases 11 for the same period last year.	1 new case 5 for the same period last year.



**2006**

<b>Quarter 1</b>	<b>Quarter 2</b>	<b>Quarter 3</b>
<b>January – March</b>	<b>April – June</b>	<b>July-Sept</b>
5 new cases  1 for same period last year	7 new cases  3 for same period last year	9 new cases  3 for the same period last year

**Outstanding Cases**

Number of Outstanding cases is 18 and 2 multiple claims - (Pensions 58 individual claims and Equal Pay Homecarers 48 Claimants).

14 outstanding cases and 2 multiple claims for same period last year.

**Disposed of Cases**

Number of cases disposed is 2.

3 cases were disposed of for the same period last year.

**Number of New Ombudsman complaints received****2005**

	<b>Quarter 1</b>	<b>Quarter 2</b>	<b>Quarter 3</b>	<b>Quarter 4</b>
	<b>January – March</b>	<b>April – June</b>	<b>July-Sept</b>	<b>Oct – December</b>
	14	12	16	27
- Benefit	1	3	3	2
- Other housing	10	6	11	15
- Environment & Conservation	3	2	1	5
- Finance	-	1	-	-
- Social Services	-	-	1	-
- Education/CEA	-	-	-	1
- Council Tax	-	-	-	4

**2006**

	<b>Quarter 1</b>	<b>Quarter 2</b>	<b>Quarter 3</b>
	<b>January – March</b>	<b>April – June</b>	<b>July-Sept</b>
	14	20	28
- Housing Performance and Property	11	9	8
- HFI		7	11
- Corporate Resources		2	1
- Social Services (Adult)	1		
- Environment & Regeneration	1	2	4
- Customer Focus			3
- CEA			1
- Finance	1		

**Number of Ombudsman complaints settled****2005**

	<b>Quarter 1</b>	<b>Quarter 2</b>	<b>Quarter 3</b>	<b>Quarter 4</b>
	<b>January – March</b>	<b>April-June</b>	<b>July-Sept</b>	<b>Oct – December</b>
Complaints settled	15	15	19	4 **
Total number where compensation paid:	6	6	14	0
- Benefit	4	3	4	-
- Other Housing	2	3	8	-
- Social Services	-	-	1	-
- Finance	-	-	1	-

**2006**

	<b>Quarter 1</b>	<b>Quarter 2</b>	<b>Quarter 3</b>
	<b>January – March</b>	<b>April – June</b>	<b>July - Sept</b>
Complaints settled	25	16	18
Total number where compensation paid:	9	7	6
- Homes for Islington	12	12	6
- Environment & Regeneration	6	2	3
- Housing Needs & Strategy	1		
- Finance	1	1	
- Housing Performance & Property	3		9
- Customer Focus	1		
- Corporate Resources		1	
- Social Services (C+F)	1	1	

**Ombudsman maladministration cases**

There were no maladministration reports issued against the Council by the Ombudsman during this quarter.

**5. Conclusion and Reasons for Recommendation**

These indicators are provided at the request of the committee to help give the committee an indication of the health of the authority in relation to Standards and Ethics.

**Background papers:**

Statistics provided by the relevant departments.

Final Report Clearance

Signed by

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Director of Corporate Resources

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Date

Received by

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Head of Democratic Services

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Date

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