



Report of: Director of Corporate Resources

Meeting of	Date	Agenda Item	Ward(s)
Standards	12 October 2010	6	

Delete as appropriate	Exempt	Non-exempt
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Subject: STANDARDS AND ETHICS INDICATORS

1. Synopsis

This report sets out a series of indicators which will help give members an indication of the health of the authority in relation to Standards and Ethics.

2. Recommendation

That the Committee consider the indicators for the third quarter of 2010 and comment as appropriate.

3. Background

This report pulls together existing information which will help give members an indication of the health of the authority in relation to Standards and Ethics.

District Audit Public Interest Reports

2010

Quarter 1	Quarter 2	Quarter 3
January – March	April – June	July - Sept
0	0	0

Objections to the Council's Accounts

2010

Quarter 1	Quarter 2	Quarter 3
January – March	April – June	July - Sept
0	0	0

Referrals to and Investigations undertaken by the Standards Board for England

2010

Quarter 1	Quarter 2	Quarter 3
January – March	April – June	July - Sept
0	0	0

Complaints submitted to the Initial Assessment Sub-Committee

2010

Quarter 1	Quarter 2	Quarter 3
January – March	April – June	July - Sept
1	0	4

Number of Whistleblowing Incidents reported

2010

Quarter 1	Quarter 2	Quarter 3
January – March	April – June	July - Sept
0	1 Relates to an allegation of mis-use of disabled blue badge by a member of staff	0

Number of challenges to procurements

2010

Quarter 1	Quarter 2	Quarter 3
January – March	April – June	July - Sept
0	0	0

Disciplinary action relating to breaches of the Member/Officer Protocol

2010

Quarter 1	Quarter 2	Quarter 3
January – March	April – June	July - Sept
0	0	0

Disciplinary action relating to fraud

2010

Quarter 1	Quarter 2	Quarter 3
January – March	April – June	July - Sept
0	0	0

Freedom of Information Act Indicators

2010

Quarter 1		Quarter 2	
January - March		April - June	
Total number of requests received within this quarter	279	Total number of requests received within this quarter	226
No of requests compliant within this quarter	200	No of requests compliant within this quarter	153
Non compliant requests within this quarter	76	Non compliant requests within this quarter	72
No of requests still open and within the 20 working days	62	No of requests still open and within the 20 working days	72
Number withheld due to exemptions/fees applied	17	Number withheld due to exemptions/fees applied	16

Standards Committee Report – Freedom of Information July to September 2010

Quarter 3	
July to September	
Total number of requests received within this quarter	292
No of requests compliant within this quarter	215
Non compliant requests within this quarter	89
No of requests still open and within the 20 working days	70
Number withheld due to exemptions/fees applied	32

No. where information was withheld due to exemptions/fees applied

Quarter: July – September 2010

Request number:	Type of requestor	Disclosure Type	Exemptions applied	Original request
340755	Member of the public	Partial Disclosure	Section 40 'Personal information'	The number of Looked After Children placed in residential homes out of the borough and the individual costs of these placements.
341415	Member of the public	Fully exempt	Section 12 'Exemption where cost of compliance exceeds appropriate limit'	26 questions around allegations of tree root nuisance against the Local Authority.
342040	Member of the public	Fully exempt	Section 31 'Law Enforcement'	The addresses and rateable values of empty Commercial properties with a current rateable value greater than £50,000 within the council area, and the names and addresses of the owners of those properties
342042	Member of the public	Fully exempt	Section 40 'Personal information' / Section 31 'Law Enforcement'	A list of derelict properties in the borough.
342046	Member of the public	Fully exempt	Section 40 'Personal information' / Section 31 'Law Enforcement'	Addresses and rateable values of all properties which have any historic credit on their account, and the names and addresses of those owners.
342744	Media	Partial disclosure	Section 40 'Personal information'	The number of children excluded from school because of their involvement with a weapon of any description.
342749	Media	Partial disclosure	Section 12 'Exemption where cost of compliance exceeds appropriate limit'	The number of teachers involved in capability proceedings since 2000, the reasons for the proceedings, and details of any redundancy pay provided.
342758	Member of the public	Fully exempt	Section 41 'Information provided in confidence'	Information about people who have died with no known next of kin since 1st January 2010 to the present.
342758	Business	Fully exempt	Section 12 'Exemption where cost of compliance exceeds appropriate limit'	A breakdown of credit balances accrued since the earliest records, for the amounts owing to all incorporated companies in the borough.
343339	Member of the public	Fully exempt	Section 40 'Personal information'	The addresses of all the Islington leaseholders and all residential properties whose freeholder is Islington Council.

343392	Business	Fully exempt	Section 12 'Exemption where cost of compliance exceeds appropriate limit' / Section 22 'Information intended for future publication'	The cost of a) Purchase Ledger, b) Sales Ledger, c) Accountancy, d) Legal, e) IT, f) Human Resources, g) Payroll and h) Estates Management functions at the council for 2009/10.
343869	Member of the public	Fully exempt	Section 12 'Exemption where cost of compliance exceeds appropriate limit'	A copy of the contract between the local education partnership and the council regarding the BSF programme at St Aloysius College.
344813	Member of the public	Fully exempt	Section 41 'Information provided in confidence'	Details of persons who died with no known next of kin since 1st April 2010.
345425	Member of the public	Fully exempt	Section 40 'Personal information' / Section 31 'Law Enforcement'	Details of empty commercial properties with a rates payable of £18,000 and above.
345699	Member of the public	Fully exempt	Section 12 'Exemption where cost of compliance exceeds appropriate limit'	The total number of fines charged per annum for parking in business zone E bays and the proportion paid by zone E resident permit holders.
347085	Member of the public	Fully exempt	Section 41 'Information provided in confidence'	Details of those who has died intestate with no known next of kin since 1st February 2010.
347833	Member of the public	Partial disclosure	Section 22 'Information intended for future publication' / Section 43 'Commercial interests'	Copies of any internal documents proposing savings opportunities to meet the financial proposals of the new government.
347855	Member of the public	Partial disclosure	Section 43 'Commercial interests'	Any documents relating to the council's response to the government's plans for changes to housing benefit
348077	Member of the public	Fully exempt	Section 42 'Legal professional privilege'	All documentation resulting from the challenge to Homes For Islington's right to carry out repairs to the windows at Colinsdale House.
348359	Member of the public	Partial disclosure	Section 40 'Personal information'	Feedback from an interview and shortlisting process.
348545	Media	Partial disclosure	Section 40 'Personal information'	An income breakdown, including pension payments, for all head teachers earning £150,000 or more in the financial year 2009/10.

348896	Member of the public	Partial disclosure	Section 40 'Personal information' / Section 42 'Legal professional privilege' / Section 43 'Commercial interests'	Copies of all emails, minutes of meetings and internal memos that have been circulated in the last 6 months that have any relevance to a property in Finsbury Square.
349395	Member of the public	Fully exempt	Section 41 'Information provided in confidence'	Details held by the council of members of the public who have died without any known next of kin.
350019	Member of the public	Partial disclosure	Section 31 'Law Enforcement'	The council's status in terms of data security and related national standards and projects.
350036	Member of the public	Fully exempt	Section 42 'Legal professional privilege'	Documentation resulting from the challenge to the council's right to carry out repairs on the windows at Colinsdale House.
352045	Member of the public	Partial disclosure	Section 40 'Personal information'	9 questions regarding recruitment processes and practices in the council.
352052	Member of the public	Fully exempt	Section 40 'Personal information' / Section 31 'Law Enforcement'	3 questions regarding long term empty residential addresses.
352513	Member of the public	Fully exempt	Section 40 'Personal information' / Section 21 'Information accessible to applicant by other means.'	The people living at an address in WC1.
352533	Business	Fully exempt	Section 40 'Personal information'	3 questions around looked after children in the borough.
353250	Member of the public	Fully exempt	Section 40 'Personal information' / Section 31 'Law Enforcement'	Request for a list of empty commercial properties in the Council.
356232	Member of the public	Fully exempt	Section 40 'Personal information' / Section 31 'Law Enforcement'	Request of a list with all empty properties in the borough.
357252	Member of the public	Fully exempt	Section 40 'Personal information' / Section 31 'Law Enforcement'	Addresses and rateable values of empty residential properties in the borough.

Industrial Action taken or notified

2010

Quarter 1	Quarter 2	Quarter 3
January – March	April – June	July - Sept
0	0	0

Number of Employment Tribunals received

2010

Quarter 1	Quarter 2	Quarter 3
January – March	April – June	July - Sept
7 New cases 4 for the same period last year	7 new cases 7 for the same period last year	6 new cases 4 for the same period last year

Outstanding Cases

Number of Outstanding cases is 17 and 2 multiple claims - (Pensions 26 individual pension claims, 20 Equal Pay Homecarers Claimants.).

15 outstanding cases and 2 multiple claims for same period last year.

Disposed of Cases

Number of cases disposed are 5 (3 won, 2 settled).

4 cases were disposed of for the same period last year.

Type of cases received

Of the 6 cases brought against the Council this quarter, there were 3 claims of unfair dismissal, 3 of disability discrimination, 1 of PIDA, 4 of race discrimination and 1 of sex discrimination.

2010 - Number of New Ombudsman complaints received

	Quarter 1 January – March	Quarter 2 April – June	Quarter 3 July - September	Quarter 4 October- December
Total	20	12	12	
- Corporate Resources	0	0	1	
- Childrens Services	0	1	1	
- Environment & Regeneration	11	0	7	
- Finance	3	1	2	
- Homes for Islington (incl. PFI)	5	9	1	
- Housing & Adult Social Services	1	1	0	
- Other	0	0	0	

2010 - Number of Ombudsman complaints settled

	Quarter 1	Quarter 2	Quarter 3	Quarter 4
	January – March	April – June	July - September	October-December
Complaints settled	3	2	6	
Total no. where compensation paid:	1	0	3	
- Corporate Resources	0	-	0	
- Childrens Services	0	-	1	
- Environment & Regeneration	0	-	0	
- Finance	0	-	1	
- Homes for Islington (incl. PFI)	0	-	3	
- Housing & Adult Social Services	0	-	1	
- Other	0	-	0	

2010 - Ombudsman maladministration cases

There were no maladministration reports issued against the Council during Quarter 2.

5. Conclusion and Reasons for Recommendation

These indicators are provided at the request of the committee to help give the committee an indication of the health of the authority in relation to Standards and Ethics.

Background papers:

Statistics provided by the relevant departments.

Final Report Clearance

Signed by

Director of Corporate Resources

Date

Received by

Head of Service – Democratic Services

Date

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