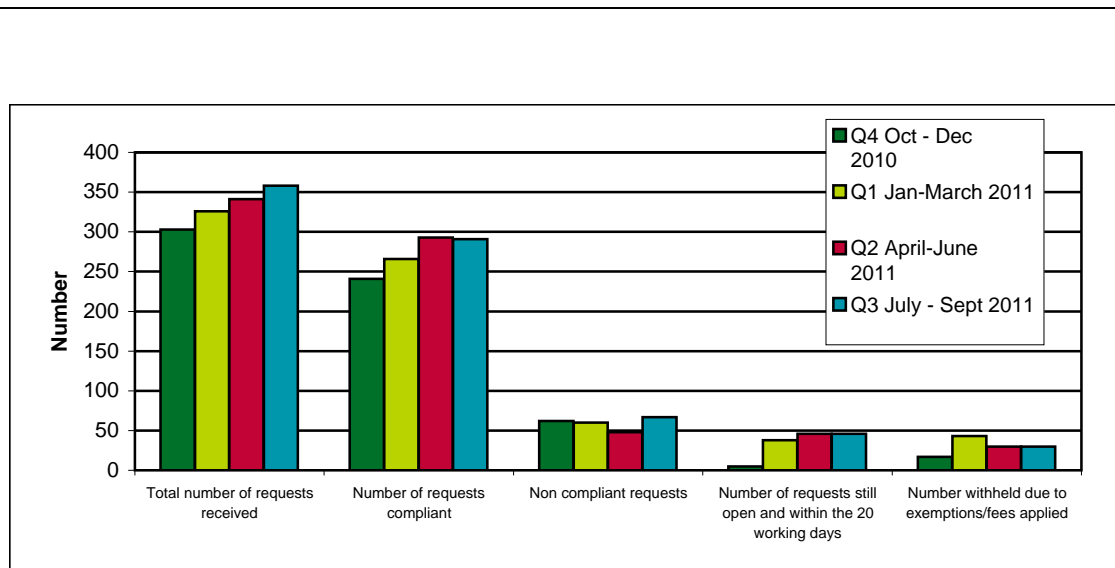


Appendix A - Standards and Ethics Indicators 2011



358 FOI requests were received between July to September (Quarter 3). Of the 358 requests received in Q3 291 were compliant and 67 non compliant and 30 were withheld due to exemptions fees supplied (6 partial disclosure and 24 fully exempt).

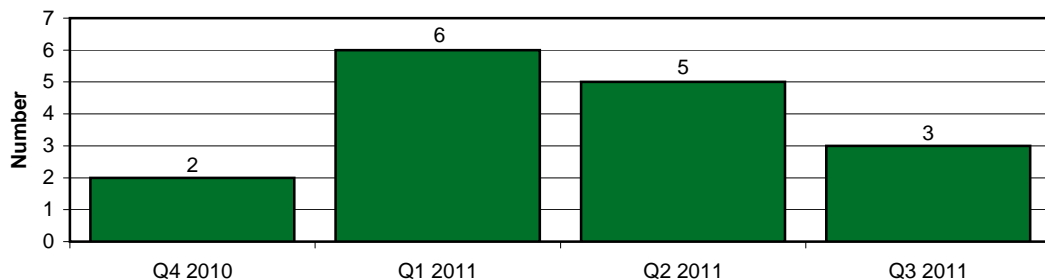
In Q3 there were 46 requests still open and within 20 days;

Appendix B details requests where information was withheld due to exemptions/fees applied and overdue requests that are still open.

Number of Disciplinary action cases relating to:				
	Q4 Oct - Dec 2010	Q1 Jan-March 2011	Q2 April-June 2011	Q3 July - Sept 2011
Breaches of Member Officer Protocol	0	0	0	0
Fraud	0	0	0	0

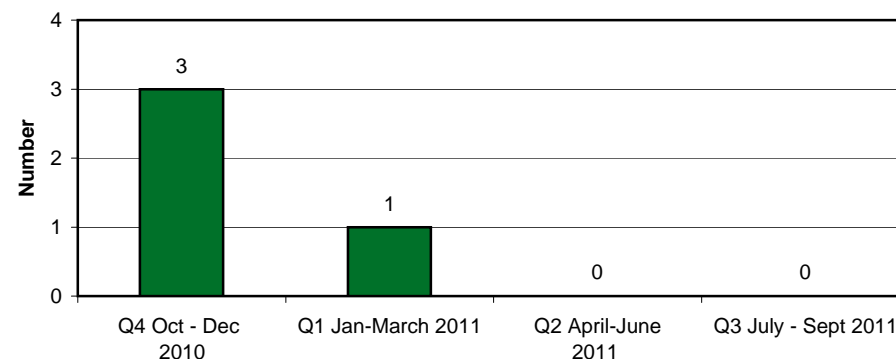
Employment Tribunals

New Cases



Quarter 3 - Number of outstanding cases is 9 (including 4 Hfl) and 2 multiple claims - (Pensions 26 individual pension claims, 20 Equal Pay Homecarers Claims). 17 outstanding cases and 2 multiple claims for same period last year.

Number of Whistleblowing Incidents reported



Quarter 4 - one incident related to alleged failure to following whistleblowing policy - not upheld; one incident related to allegations concerning waste management - not upheld; one incident related to allegation of bullying - being investigated.

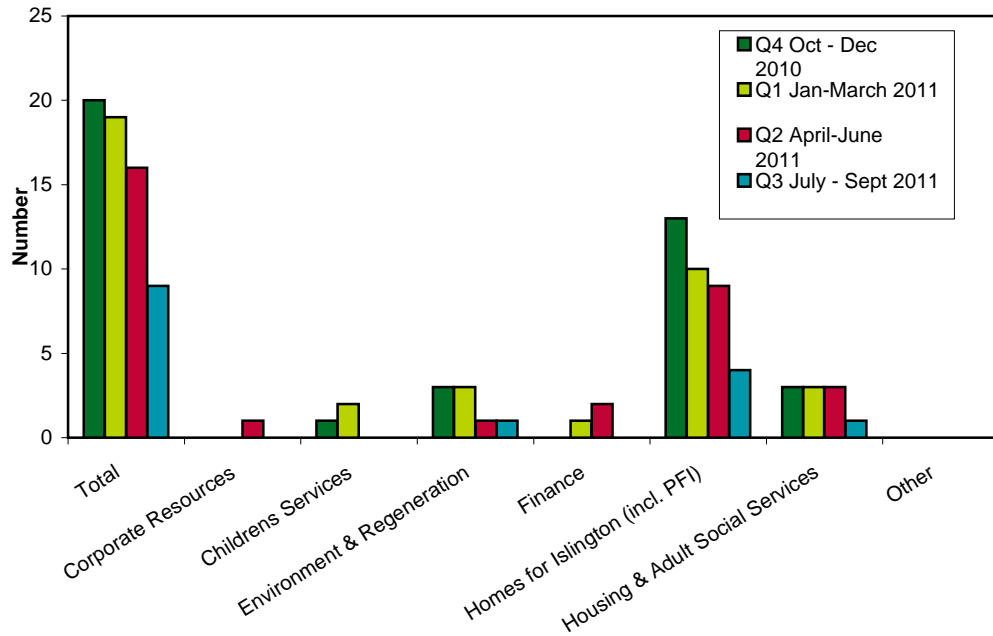
Quarter 1 - one incident relating to the Youth Offending Service

Quarter 2 - None

Quarter 3 - None

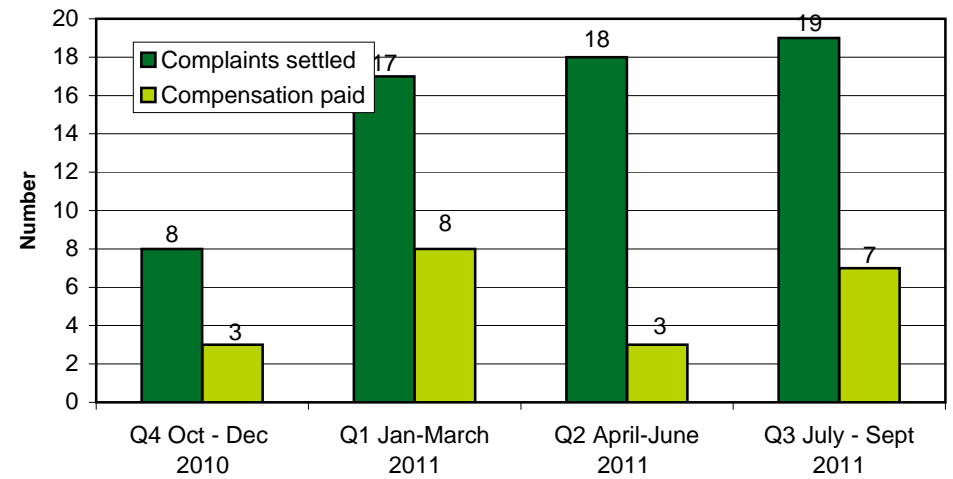
Ombudsmen Complaints

New Ombudsmen Complaints Received by Service Area



Ombudsman maladministration cases - There were no maladministration reports issued against the Council during Q3

Number of Complaints Settled and Compensation Paid



Number of cases where compensation paid by service area

Q4 - 3;
Q1 - 8;
Q2 - 3;
Q3 - 7;

No. Cases Reported

Industrial Action

No Industrial Action was taken or notified during 2010;

Complaints submitted to the Initial Assessment Sub-Committee

