



**Resources Department  
Town Hall, Upper Street, London, N1 2UD**

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## **AGENDA FOR THE AUDIT COMMITTEE AND AUDIT COMMITTEE (ADVISORY)**

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Members of the Audit Committee and Audit Committee (Advisory) are summoned to a virtual meeting to be held by Zoom on **24 November 2020 at 7.00 pm.**

**Linzi Roberts-Egan  
Chief Executive**

**Link to the meeting:** <https://weareislington.zoom.us/j/93322914878>

Enquiries to : Mary Green  
Tel : 020 7527 3005  
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Despatched : 16 November 2020

### Membership

Councillor Nick Wayne (Chair)  
Councillor Andy Hull (Vice-Chair)  
Councillor Troy Gallagher  
Councillor Anjna Khurana  
Alan Begg (Co-Optee)  
Alan Finch (Co-Optee)

### Substitute Members

Councillor Jenny Kay  
Councillor Roulin Khondoker  
Councillor Flora Williamson  
Councillor John Woolf

**Quorum: is 3 Councillors**



**A. Formal Matters** **Page**

1. Apologies for absence
2. Declaration of substitute members
3. Declarations of interest

If you have a **Disclosable Pecuniary Interest\*** in an item of business:

- if it is not yet on the council's register, you **must** declare both the existence and details of it at the start of the meeting or when it becomes apparent;
- you may **choose** to declare a Disclosable Pecuniary Interest that is already in the register in the interests of openness and transparency.

In both the above cases, you **must** leave the room without participating in discussion of the item.

If you have a **personal** interest in an item of business **and** you intend to speak or vote on the item you **must** declare both the existence and details of it at the start of the meeting or when it becomes apparent but you **may** participate in the discussion and vote on the item.

**\*(a) Employment, etc** - Any employment, office, trade, profession or vocation carried on for profit or gain.

**(b) Sponsorship** - Any payment or other financial benefit in respect of your expenses in carrying out duties as a member, or of your election; including from a trade union.

**(c) Contracts** - Any current contract for goods, services or works, between you or your partner (or a body in which one of you has a beneficial interest) and the council.

**(d) Land** - Any beneficial interest in land which is within the council's area.

**(e) Licences**- Any licence to occupy land in the council's area for a month or longer.

**(f) Corporate tenancies** - Any tenancy between the council and a body in which you or your partner have a beneficial interest.

**(g) Securities** - Any beneficial interest in securities of a body which has a place of business or land in the council's area, if the total nominal value of the securities exceeds £25,000 or one hundredth of the total issued share capital of that body or of any one class of its issued share capital.

This applies to **all** members present at the meeting.

4. Audit Committee appointments 1 - 2

**B. Items for Decision - Audit Committee**

1. Action Plans arising from outcome of external investigations 3 - 4

**C. Urgent non-exempt items**

Any non-exempt items which the Chair agrees should be considered urgently by reason of special circumstances. The reasons for urgency will be agreed by the Chair and recorded in the minutes.

**D. Exclusion of press and public**

To consider whether, in view of the nature of the remaining item on the agenda, it is likely to involve the disclosure of exempt or confidential information within the terms of the Access to Information procedure rules in the Constitution and, if so, whether to exclude the press and public during discussion thereof.

**E. Confidential/exempt items**

1. Action Plans arising from outcome of external investigations - 5 - 56  
exempt appendices

**F. Urgent exempt items (if any)**

Any exempt items which the Chair agrees should be considered urgently by reason of special circumstances. The reasons for urgency will be agreed by the Chair and recorded in the minutes.

The next ordinary meeting of the Audit Committee and Audit Committee (Advisory) will be on 25 January 2021

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**Governance and Human Resources  
Town Hall,  
Upper Street  
London N1 2UD**

<b>Report of :</b> Acting Director of Law and Governance and Monitoring Officer
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Meeting of	Date	Agenda Item	Ward(s)
Audit Committee	24 November 2020		n/a

Delete as appropriate		<b>Non-exempt</b>
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## **Subject: AUDIT COMMITTEE APPOINTMENTS 2020/21**

### **1. Synopsis**

This report seeks agreement to the appointment of Councillor Troy Gallagher to the Personnel Sub-Committee to replace Councillor Angela Picknell.

### **2. Recommendation**

To appoint Councillor Troy Gallagher to the Personnel Sub-Committee to replace Councillor Angela Picknell for the municipal year 2020/21, or until a successor is appointed.

### **3. Background**

3.1 At their meeting on 29 September 2020, the Audit Committee made the following appointments to the Personnel Sub-Committee:

<b>Councillors</b>	<b>Substitutes</b>
Anjna Khurana (Chair)	All other Executive members
Richard Watts	Nick Wayne
Satnam Gill OBE	Roulin Khondoker
Jenny Kay	Troy Gallagher
Angela Picknell	Sara Hyde

3.2 Since that meeting, Councillor Picknell has stepped down from the Sub-Committee and is to be replaced by Councillor Troy Gallagher.

#### **4. Implications**

##### **4.1 Financial Implications**

None

##### **4.2 Legal Implications**

These are set out in the report.

##### **4.3 Environmental Implications and contribution to achieving a net zero carbon Islington by 2030**

There are no environmental impacts arising from this report.

##### **4.3 Resident Impact Assessment**

The council must, in the exercise of its functions, have due regard to the need to eliminate discrimination, harassment and victimisation, and to advance equality of opportunity, and foster good relations, between those who share a relevant protected characteristic and those who do not share it (section 149 Equality Act 2010). The council has a duty to have due regard to the need to remove or minimise disadvantages, take steps to meet needs, in particular steps to take account of disabled persons' disabilities, and encourage people to participate in public life. The council must have due regard to the need to tackle prejudice and promote understanding.

A resident impact assessment has not been carried out since the contents of this report relate to a purely administrative function and will not impact on residents.

#### **5. Conclusion and reasons for recommendation**

Approval to the recommendation is needed to ensure that the Sub-Committee is properly constituted.

#### **Background papers:**

None.

Final Report Clearance

Signed by



Acting Director of Law and Governance and  
Monitoring Officer

Date

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E-mail                mary.green@islington.gov.uk



## Report of: Corporate Director of Resources

<b>Meeting of:</b>	<b>Date:</b>	<b>Ward(s):</b>
Audit Committee	24 November 2020	N/A

<b>Delete as appropriate</b>	Exempt	Non-exempt
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### **THE APPENDIX TO THIS REPORT IS EXEMPT AND NOT FOR PUBLICATION**

The appendix to this report is exempt and not for publication because it contains exempt information under Schedule 12A of the Local Government Act 1972.

### **SUBJECT: ACTION PLANS ARISING FROM OUTCOME OF EXTERNAL INVESTIGATIONS**

#### **1. Synopsis**

On 13 August 2020 Audit Committee resolved that certain actions be undertaken arising from the outcomes of two separate external investigations into whistleblowing complaints. This report presents the progress of the implementation of the actions approved by the resolutions of Audit Committee at the meeting of 13 August 2020.

#### **2. Recommendation**

- 2.1 To note the contents of the Exempt report and exempt Appendix 1 on progress towards implementing the actions.

#### **3. Background**

- 3.1 Whistleblowing arrangements are a key element of the Council's overall governance arrangements. Whistleblowing allows employees, members, contractors and others, to raise in confidence concerns in relation to wrongdoing.
- 3.2 The Council takes all allegations of impropriety very seriously and in this instance appointed an external investigator to ensure that an independent investigation was undertaken.

#### **4. Implications**

##### **4.1 Financial implications**

There are no significant financial implications arising from the recommendations in this report.

## 4.2 **Legal Implications**

There are no specific legal implications arising from the recommendations in this this report.

## 4.3 **Environmental implications and contribution to a Net Zero Carbon Islington by 2030.**

There are no known environmental implications arising from the recommendations in this report.

## 4.4 **Resident Impact Assessment**

The council must, in the exercise of its functions, have due regard to the need to eliminate discrimination, harassment and victimisation, and to advance equality of opportunity, and foster good relations, between those who share a relevant protected characteristic and those who do not share it (section 149 Equality Act 2010). The council has a duty to have due regard to the need to remove or minimise disadvantages, take steps to meet needs, in particular steps to take account of disabled persons' disabilities, and encourage people to participate in public life. The council must have due regard to the need to tackle prejudice and promote understanding.

An initial assessment has been undertaken and it has been determined that a full Resident Impact Assessment is not required because the decision currently being sought does not have direct impacts on residents.

## 5. **Reason for recommendation**

- 5.1 To note the progress on implementation of actions approved by resolution of Audit Committee on 13 August 2020 as further detailed in the Exempt report and exempt Appendix 1.

### **Appendices**

Exempt Report

Exempt Appendix 1 – Recommendations and Actions Taken

Final report clearance:

### **Signed by:**

Corporate Director of Resources

Date: 13 November 2020

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By virtue of paragraph(s) 1 of Part 1 of Schedule 12A  
of the Local Government Act 1972.

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