



**Report of: Acting S151 Officer**

<b>Audit Committee</b>	<b>Date: 17<sup>th</sup> March 2020</b>	<b>Ward(s): All</b>
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Part of the report is not for publication because it contains exempt information under Schedule 12A of the Local Government Act 1972) Paragraphs 1, 2, 7 Schedule 12A of the Local Government Act 1972, namely: Information relating to an individual. Information which is likely to reveal the identity of an individual and Information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of crime.

**THE APPENDIX TO THIS REPORT IS NOT FOR PUBLICATION**

**SUBJECT: Whistleblowing Monitoring Report – 1<sup>st</sup> April 2019 to 10<sup>th</sup> February 2020**

**1. Synopsis**

1.1 The report seeks to provide assurance that whistleblowing arrangements are in place and operating effectively, and that investigating fraud is an integral part of the Council's Anti-Fraud Strategy.

The Council's Whistleblowing Officer is the Head of Internal Audit, Investigations and Risk Management.

Whistleblowing arrangements are a key element of the Council's overall governance arrangements. It is the mechanism to "empower the honest majority" in the fight against fraud and corruption and is an integral part of the Council's Anti-Fraud Strategy.

Whistleblowing allows employees, members, contractors and others, to raise concerns surrounding potential fraud and corruption. There are separate reporting mechanisms for adult

and child protection allegations. Whistleblowing information is located within the Human Resources policies and procedures section of the Council's intranet.

A review of the Council's whistleblowing policy was undertaken in 2018-19 and approved by Audit Committee in January 2019. A separate report to this committee recommends the approval of an updated whistleblowing policy.

- 1.2 The report gives detail of referrals made between 1<sup>st</sup> April 2019 and 10<sup>th</sup> February 2020 as well as referrals carried forward from previous years.

## **2. Recommendations**

- 2.1 To note the contents of the report.

## **3. Background**

- 3.1 Effective whistleblowing arrangements are a key element of effective governance arrangements within the Council.

## **4. Implications**

### **Financial implications:**

- 4.1 There are no specific financial implications associated with this report.

### **Legal Implications:**

- 4.2 It is good practice to review regularly the referrals made under the Whistleblowing policy to provide assurance that they have been dealt with appropriately.

### **Environmental Implications**

- 4.3 There are no environmental implications arising from the recommendations in this report.

### **Resident Impact Assessment:**

4.4

The council must, in the exercise of its functions, have due regard to the need to eliminate discrimination, harassment and victimisation, and to advance equality of opportunity, and foster good relations, between those who share a relevant protected characteristic and those who do not share it (section 149 Equality Act 2010). The council has a duty to have due regard to the need to remove or minimise disadvantages, take steps to meet needs, in particular steps to take account of disabled persons' disabilities, and encourage people to participate in public life. The council must have due regard to the need to tackle prejudice and promote understanding.

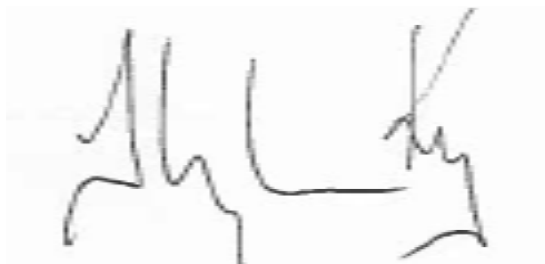
A Resident Impact Assessment has not been completed because the decision currently being sought does not have direct impacts on residents.

## **5. Reasons for the recommendations / decision:**

- 5.1 The report presents an update on whistleblowing referrals received from 1<sup>st</sup> April 2019 to 10<sup>th</sup> February 2020.

5.2 The Council is obliged under the Public Interest Disclosure Act to maintain a whistleblowing policy, designed to encourage staff, members, contractors and others to raise concerns without fear of reprisal.

**Signed by**

A handwritten signature in black ink, appearing to read 'S Key', written over a light grey rectangular background.

Stephen Key, Acting S151 officer

**Date:** 20<sup>th</sup> February 2020

### **Appendices**

- Appendix A – Whistleblowing Report (**Exempt**)

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